# Study on Overlap-Aware Speaker Diarization and Its Applications

(発話の重複を考慮した話者ダイアライゼーションとその応用に関する研究)

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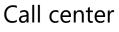
University of Tsukuba

# **Multi-Talker ASR**

- Automatic speech recognition (ASR) will contribute to:
  - Solve a labor shortage (if incorporated with dialog system, text mining, etc.)
  - Improve human well-being by freeing humans from simple labors (e.g., transcription, documentation)
  - Ease a language barrier (if incorporated with translation)
- Situations where we want to transcribe speech usually have multiple speakers
  - $\rightarrow$  Not only "what was spoken" but also "**who spoke when**" is essential







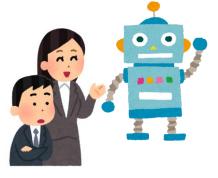
Doctor-patient conversation



Meeting



TV show



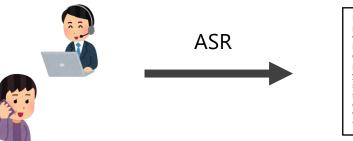
Human-robot interaction

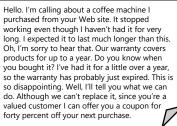
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# **Speaker Diarization in Multi-Talker ASR**

Speaker diarization (who spoke when) plays an essential role in multi-talker ASR

• ASR → Speaker diarization chain [Chen+'20]









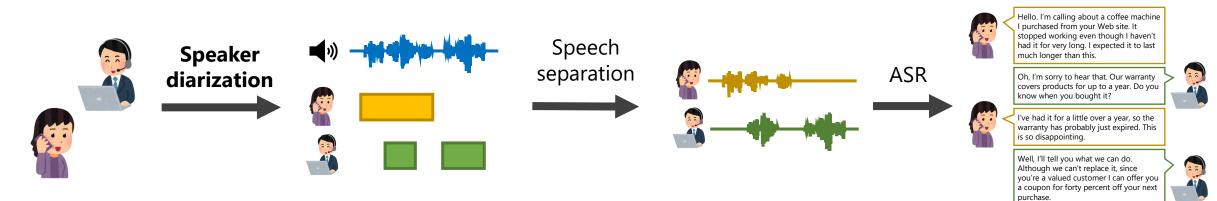


I've had it for a little over a year, so the warranty has probably just expired. This is so disappointing.

Well, I'll tell you what we can do. Although we can't replace it, since you're a valued customer I can offer you a coupon for forty percent off your next purchase.

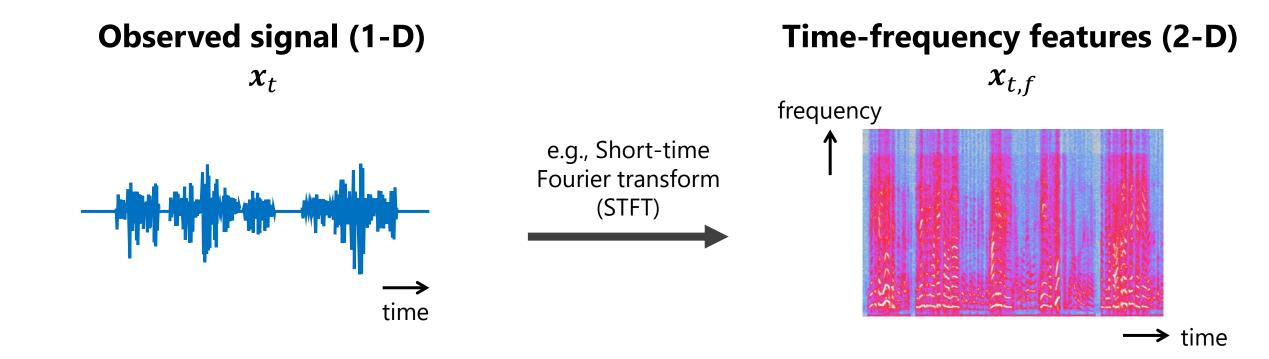


• Speaker diarization  $\rightarrow$  Speech separation  $\rightarrow$  ASR chain [Watanabe+'20]



\* The conversational text was taken from TOEIC sample questions. URL: https://www.iibc-global.org/toeic/toeic\_program/sample\_all.html#L3

# Preliminary

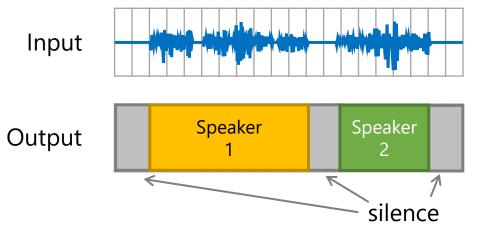


- Discussions in this presentation are based on time-frequency features
- We illustrates a sequence of features like :

# **Two Problem Definitions for Speaker Diarization**

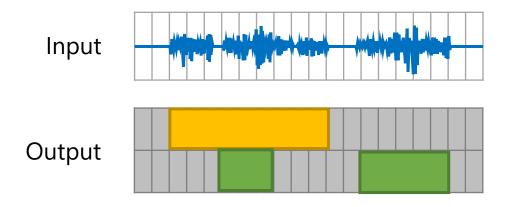
### Set-partitioning problem

- Assign a single speaker or silence to each time frame
- Adopted by most cascaded approaches

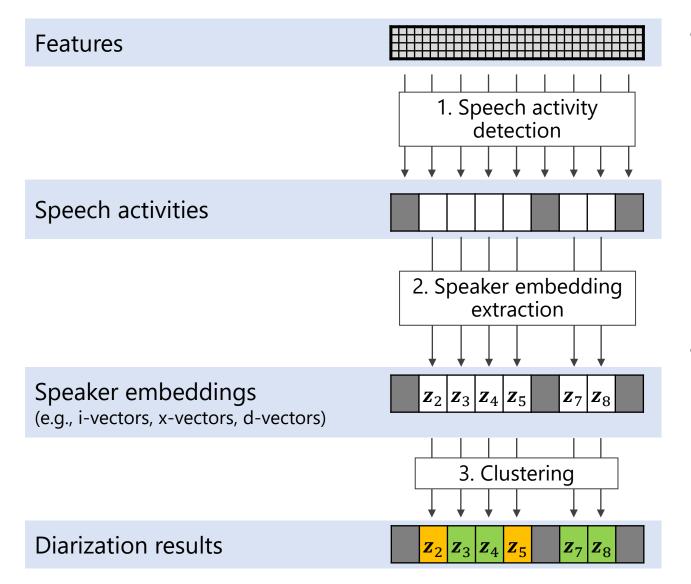


### Multi-label classification problem

- Estimate all the active speakers for each time frame
- Adopted by most end-to-end approaches



## Cascaded Approach [Sell+'14] [Landini+'22]



### Method

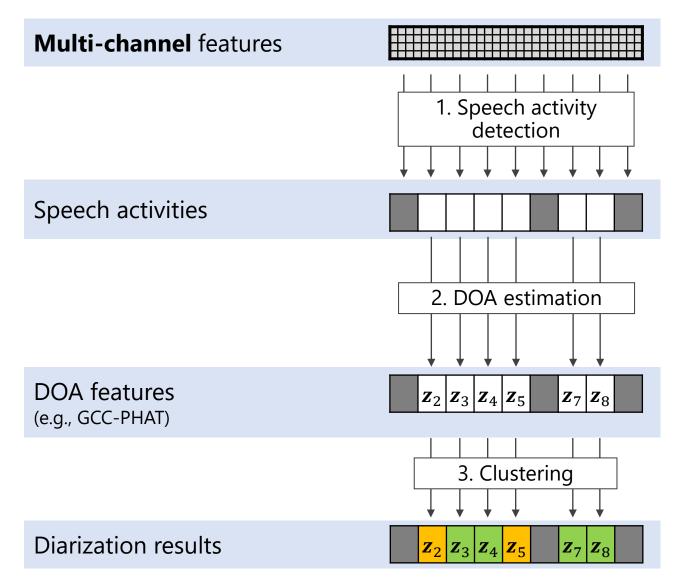
Cascade of the following:

- 1. Speech activity detection
- 2. Speaker embedding extraction
- 3. Clustering
- 4. (Optional) overlap detection and speaker assignment

## Pros & Cons

- **×** Complicated pipeline
- Cannot handle speaker overlap (without additional modules)
- The number of speakers can be set flexibly in the clustering step

# Direction-of-Arrival-based Approach [Araki+'08] [Ishiguro+'11]



### Method

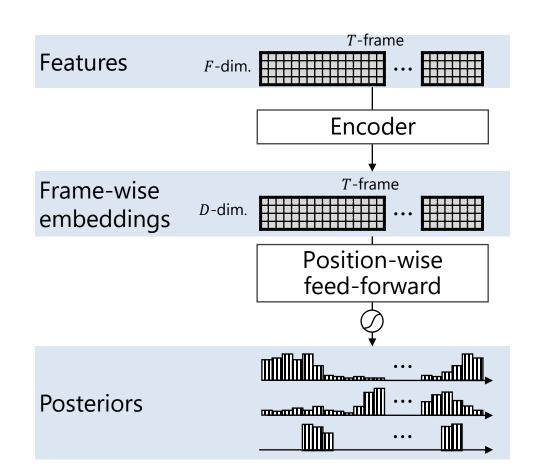
Cascade of the following:

- 1. Speech activity detection
- 2. Direction-of-arrival (DOA) estimation
- 3. Clustering
- Variant of cascaded approach

## Pros & Cons

- ✓ Can benefit from spatial information
- Speakers from the same direction cannot be distinguished

# End-to-End Approach [Fujita+'19]



#### **End-to-End Neural Diarization (EEND)**

### Method

• Estimate multiple speakers' speech activities simultaneously from input acoustic features

### Pros & Cons

✓ Simple pipeline (only a single neural network)

- ✓ Can handle speaker overlap
- **X** The architecture fixes the number of speakers

The details will be introduced later

## **Comparison of Various Approaches**

	Cascade-based	Direction-of-Arrival-based	End-to-end
	approach	approach	approach
Pipeline	×	×	✓
	Complicated	Complicated	Simple
Speech overlap	¥ Cannot handle (without additional modules)	✓ Can handle (Based on methods)	✓ Can handle
Number of	✓	✓	<b>×</b>
Speakers	Flexible	Flexible	Fixed
Clue for diarization	Spectral information	Spatial information	Spectral information

- End-to-end approach is superior at many points, but has difficulty on the number of speakers
- End-to-end approach has room for improvement by leveraging spatial information
- If we ignore the complexity of the pipeline, it is hard to discard the cascaded approach as long as it can handle speech overlap

# **Purpose of This Thesis**

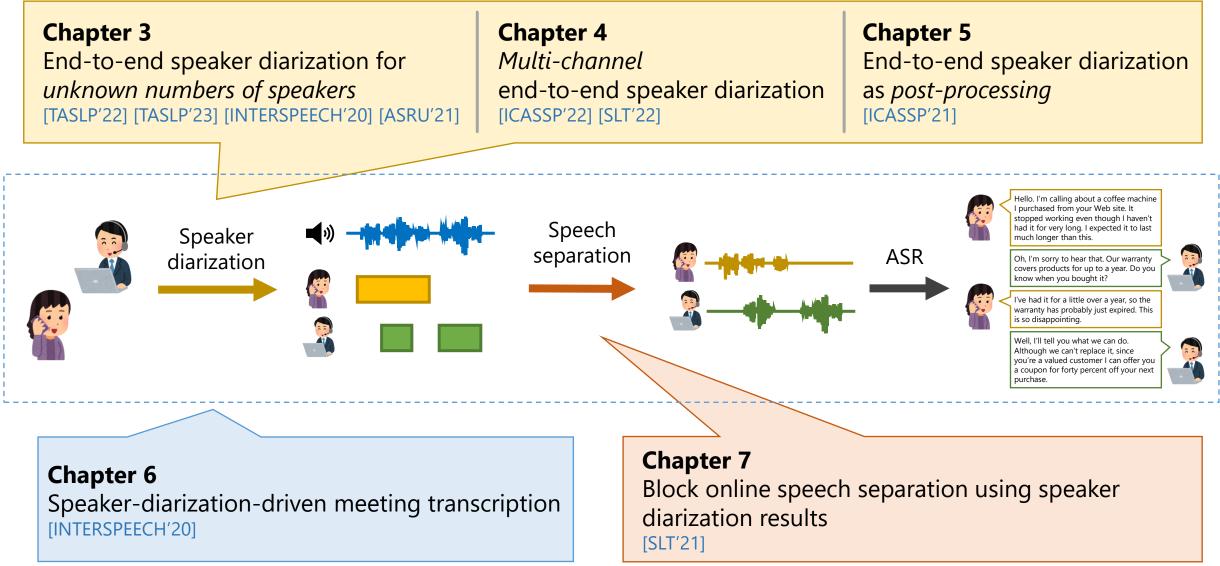
### **1.** To improve the utility of speaker diarization methods...

- End-to-end approach is superior at many points, but have difficulty on the number of speakers
- $\rightarrow$  Propose an end-to-end method that even works when **the number of speakers is unknown**
- End-to-end approach has room for improvement by leveraging spatial information
- $\rightarrow$  Propose an end-to-end method that accepts **multi-channel inputs**
- It is hard to discard the cascaded approach as long as it can handle speech overlap
   → Propose to use the end-to-end approach for overlap handling of the cascaded approach

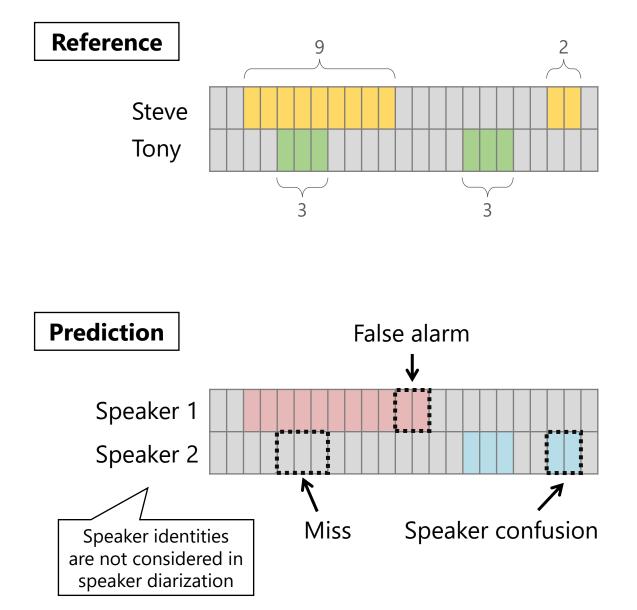
### 2. To utilize speaker diarization for multi-talker ASR...

- How speaker diarization contributes to multi-talker ASR is not well explored
- → Propose a diarization-driven meeting transcription system
- Speech separation conditioned on speaker diarization results is quite slow
- → Propose a **block-online algorithm of diarization-conditioned speech separation**

## **Thesis Overview**



# **Evaluation Metric: Diarization Error Rate (DER)**



• Definition

DER = 
$$\frac{T_{\text{MI}} + T_{\text{FA}} + T_{\text{CF}}}{T_{\text{Speech}}} \left( = \frac{3 + 2 + 2}{9 + 2 + 3 + 3} = 41.1\% \right)$$

- $T_{\text{Speech}}$ : Duration of speech (17=9+2+3+3)
- $T_{\rm MI}$  : Duration of missed speech (3)
- $T_{\rm FA}$  : Duration of false alarmed speech (2)
- $T_{\rm CF}$  : Duration of speaker confusion (2)
- Common evaluation metric of speaker diarization
- The lower, the better
- Not upper-bounded by 100 %

# **Summary of Chapter 3**

#### Problem

• The conventional EEND assumes that the number of speakers is known in advance

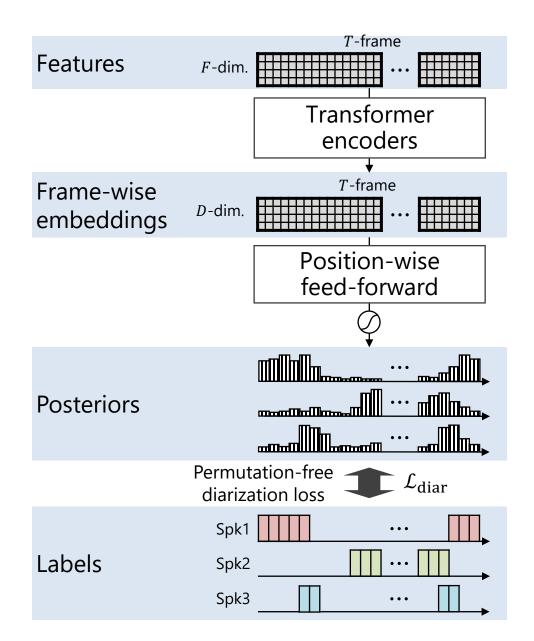
### Solutions

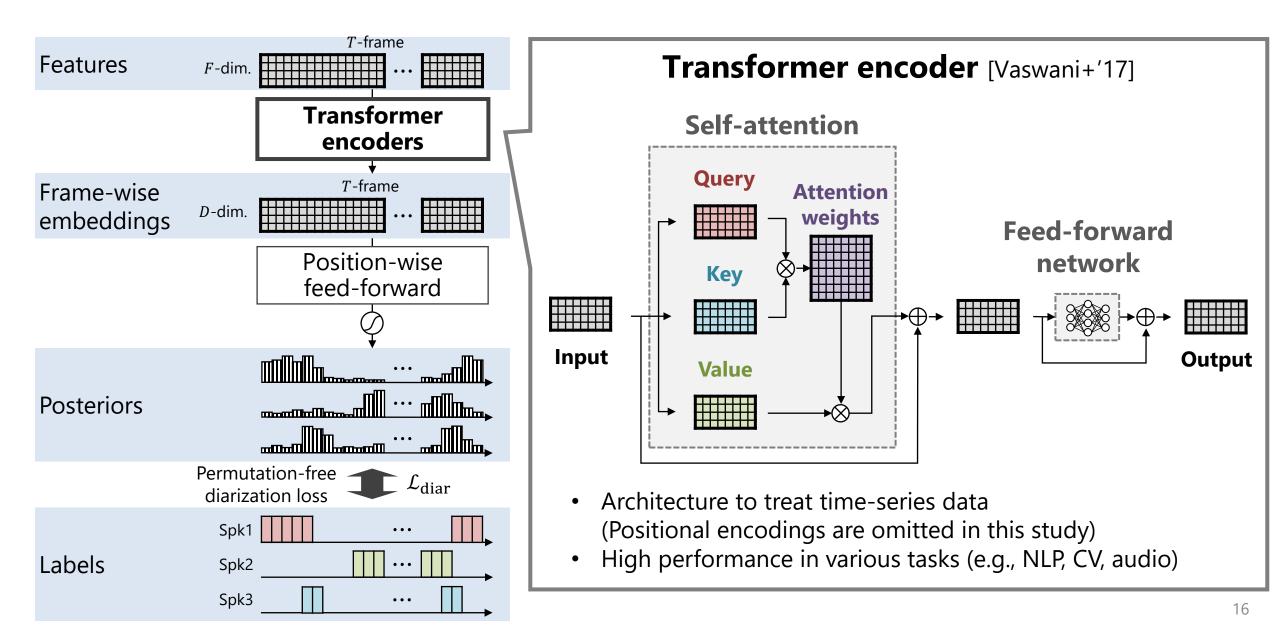
- 3-1: End-to-end speaker diarization for **flexible** numbers of speakers
  - Core contribution: Encoder-decoder based attractors for EEND (EEND-EDA)
  - Related publications: [INTERSPEECH'20] [TASLP'22]
- 3-2: End-to-end speaker diarization for **unlimited** numbers of speakers
  - Core contribution: Use of attractors from calculated from global and local contexts (EEND-GLA)
  - Related publication: [ASRU'21] [TASLP'23]
- 3-3: Online end-to-end speaker diarization for unlimited numbers of speakers
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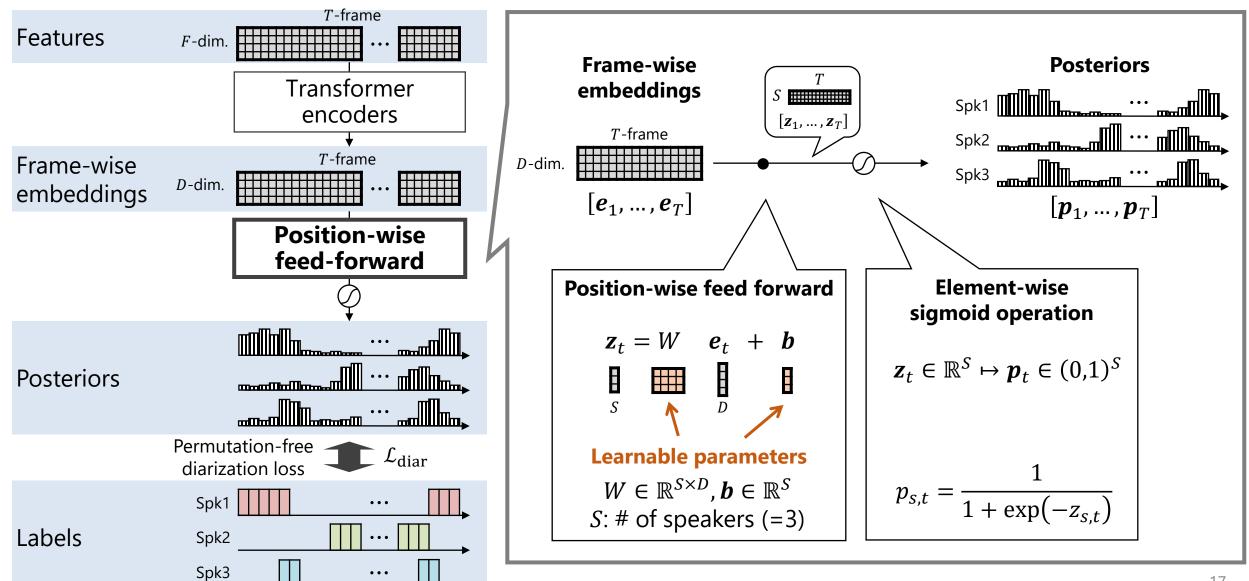
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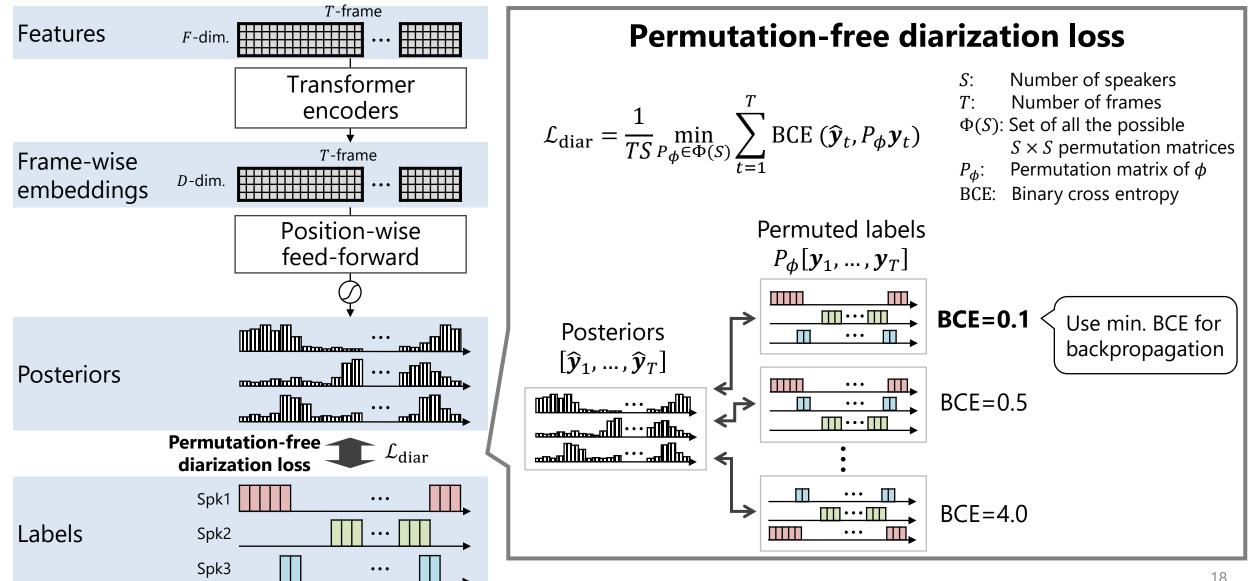
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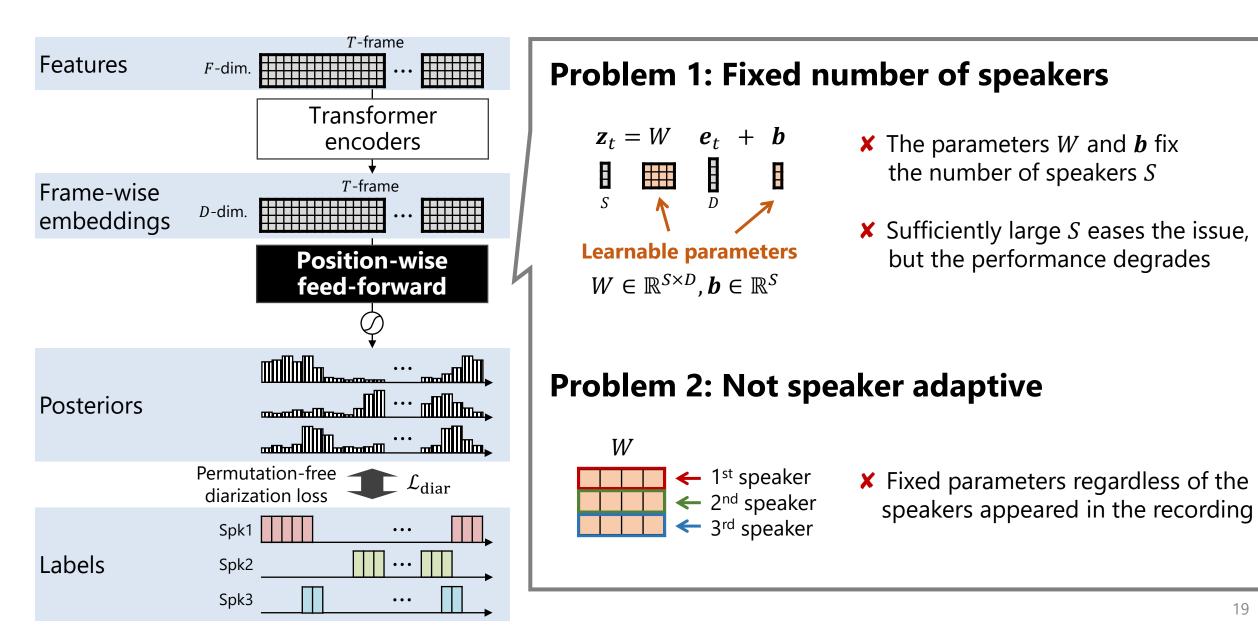






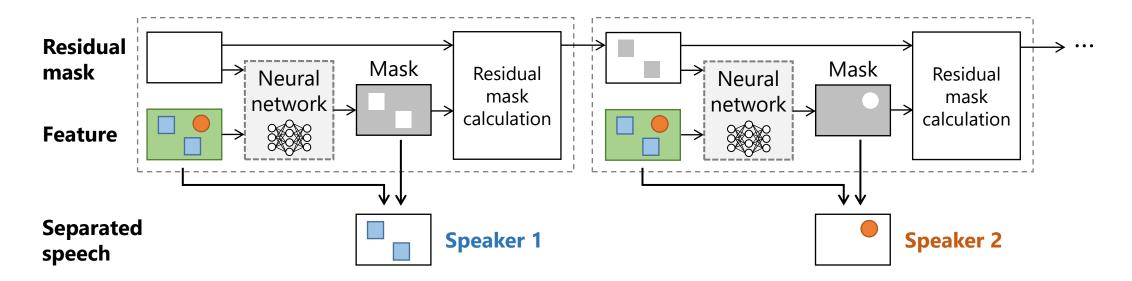


# **Problems of Conventional EEND**



# **Related Work on Speech Separation**

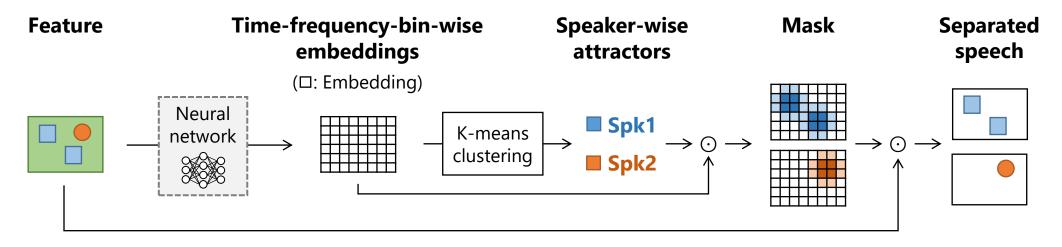
• Recurrent selective attention network [Kinoshita+'18]



- Extract speakers one-by-one using residual masks
- Estimate the number of speakers simultaneously
- **X** Residual mask cannot be determined for speaker diarization
  - Speech separation: 0 or 1 speaker at each time-frequency bin
  - Speaker diarization: No restriction of the number of speakers at each time frame

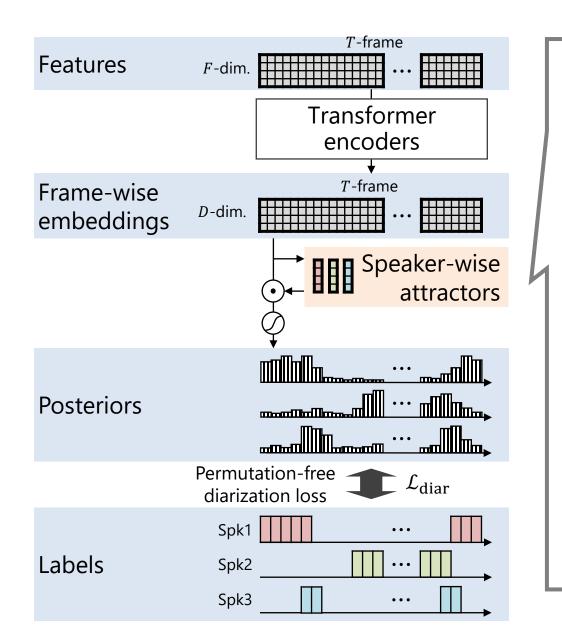
# **Related Work on Speech Separation**

Deep attractor network [Zhuo+'17]



- 1. Calculate speaker-wise attractors (representative vectors) using K-means clustering of time-frequency-bin-wise embeddings
- 2. Estimate masks with the dot-products of the attractors and embeddings
- No need for residual masks
- ✓ Adaptive attractors for each speaker
- X Need to set the number of speakers manually

# **Proposed Method: EEND-EDA**

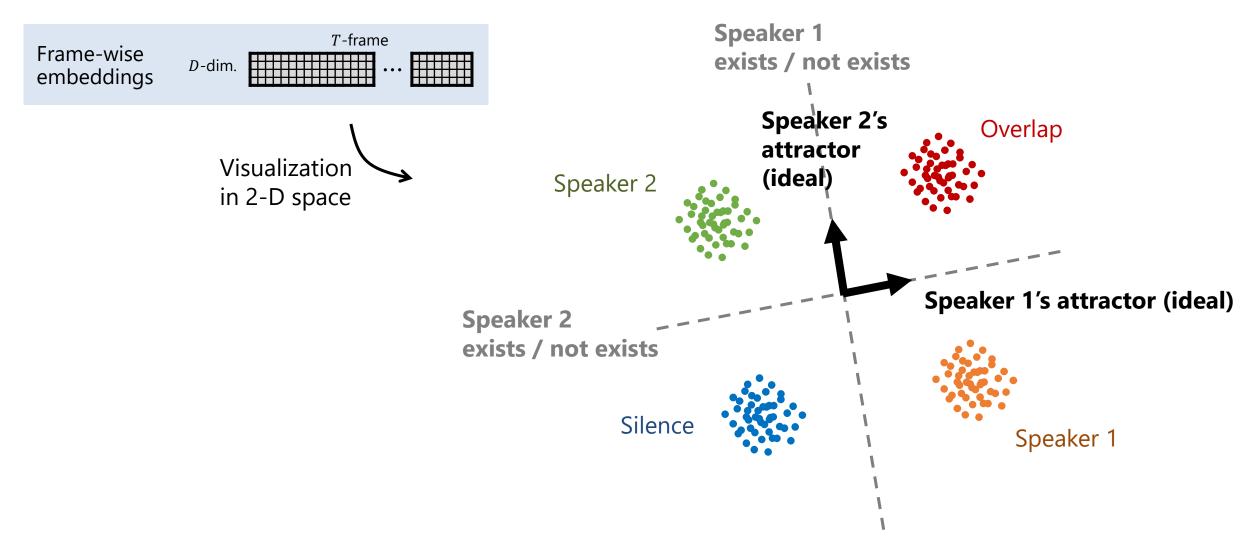


#### **EEND with Encoder-Decoder Based Attractors** (EEND-EDA)

Core idea:

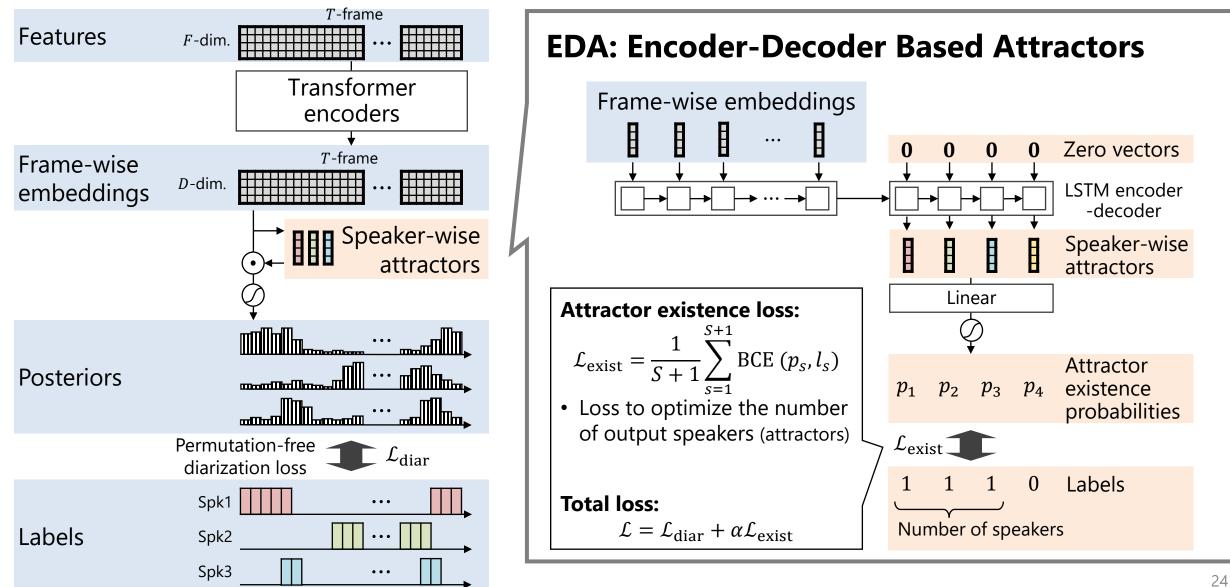
- 1. Calculate adaptive speaker-wise attractors in an autoregressive manner
- 2. Estimate the number of speakers simultaneously by evaluating the existence of each attractor

## Adaptive Attractors (Ex. Two-Speaker Case)

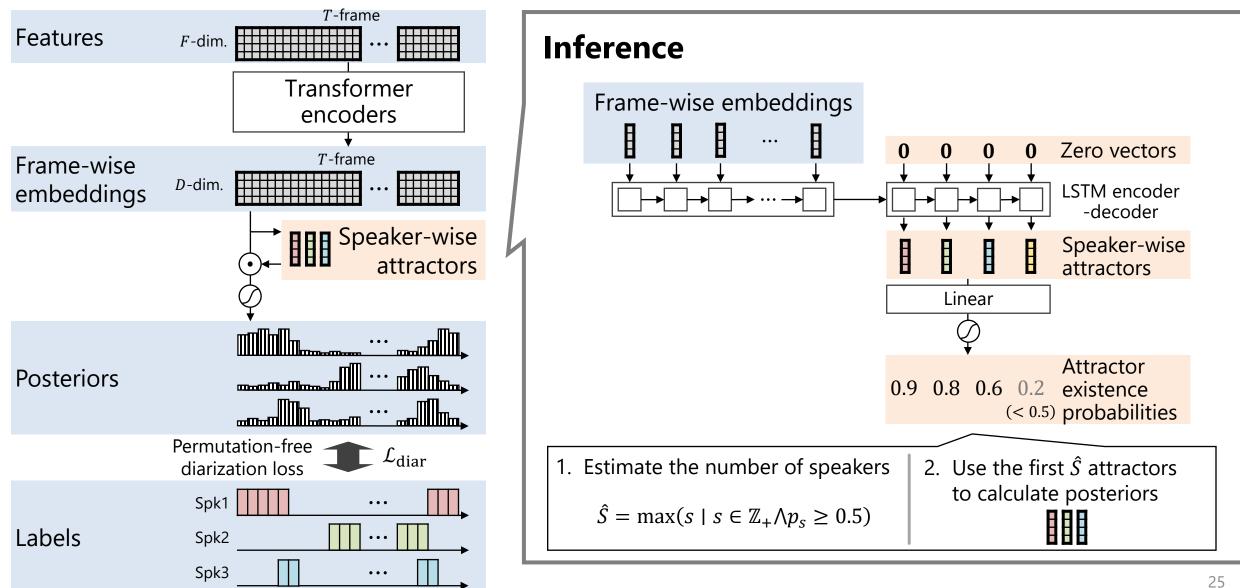


• Attractors are never obtained via PCA / K-means clustering.

# **Proposed Method: EEND-EDA**



## **Proposed Method: EEND-EDA**



# **Experimental Settings**

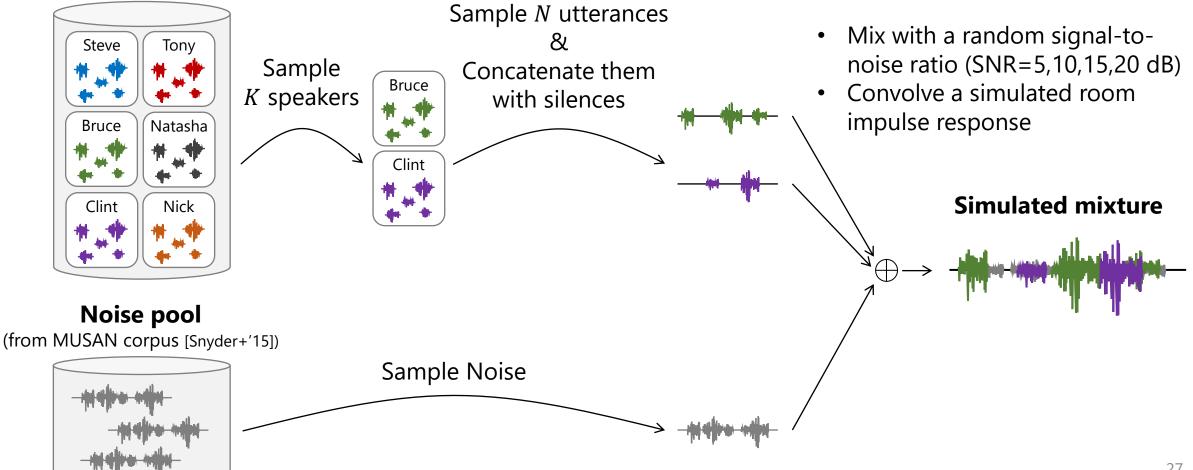
- Model configuration
  - 4-stacked Transformer encoders for the embedding extractor
- Experiments
  - Fixed-number-of-speaker experiments
    - Purpose: To see if the proposed speaker adaptive attractors improve the performance
    - 1. Train & evaluate a model using the simulated 2 (or 3)-speaker dataset
    - 2. Finetune & evaluate the model using the real 2 (or 3)-speaker dataset
  - Flexible-number-of-speaker experiments
    - Purpose: To see if the proposed method can treat flexible numbers of speakers
    - 1. Train a model using **simulated the 2-speaker dataset**
    - 2. Finetune & evaluate the model using the **simulated {1,2,3,4,5}-speaker datasets**
    - 3. Finetune & evaluate the model using the **real multi-speaker datasets**

# **Experimental Settings – Simulated Datasets**

#### Simulation protocol

#### **Utterance pool**

(from NIST SRE & Switchboard corpora)



# **Experimental Settings – Simulated Datasets**

- Data sources
  - Utterance
    - Switchboard-2 (Phase I & II & III)
    - Switchboard Cellular (Part 1 & 2)
    - NIST SRE (2004, 2005, 2006, 2008)
  - Noise
    - MUSAN [Snyder+'15]
- Overlap ratios are controlled by changing the silence length between utterances
- The set of speakers in the train/test sets are not overlapped (Open-set setting)

	Dataset	#Spk	#Mixtures	Overlap ratio (%)
	Sim1spk	1	100,000	0.0
	Sim2spk	2	100,000	34.1
Train	Sim3spk	3	100,000	34.2
	Sim4spk	4	100,000	31.5
	Sim5spk	5	100,000	30.3
	Sim1spk	1	500	0.0
	Sim2spk	2	500	34.4 / 27.3 / 19.1
Test	Sim3spk	3	500	34.7 / 27.4 / 19.2
	Sim4spk	4	500	32.0
	Sim5spk	5	500	30.7

# **Experimental Settings – Real Datasets**

- CALLHOME
  - Telephone conversation (mostly in English but not limited to)
  - CALLHOME-kspk is a k-speaker portion of this dataset
- CSJ
  - Face-to-face conversation in Japanese
- DIHARD II & III
  - Various domains, various languages
    - Audiobook, broadcast, clinical, court, meeting, restaurant, web video, etc.

	Dataset	#Spk	#Mixtures	Overlap ratio (%)
	CALLHOME-2spk	2	155	14.0
	CALLHOME-3spk	3	61	19.6
Adapt	CALLHOME	2-7	249	17.0
	DIHARD II dev [Ryant+'19]	1-10	192	9.8
	DIHARD III dev [Ryant+'21]	1-10	254	10.7
Test	CALLHOME-2spk	2	148	13.1
	CSJ [Maekawa'03]	2	54	20.1
	CALLHOME-3spk	3	74	17.0
	CALLHOME	2-6	250	16.7
	DIHARD II eval [Ryant+'19]	1-9	194	8.9
	DIHARD III eval [Ryant+'21]	1-9	259	9.2

# **Results of Two-Speaker Experiments**

• Diarization error rates (DERs) (%) on two-speaker mixtures

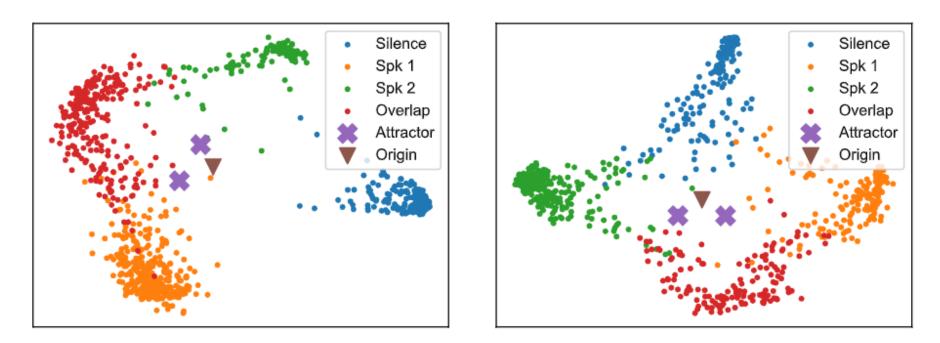
p: overlap ratio

			Simulated		Real			
	Method	<b>Sim2spk</b> ρ=34.4%	<b>Sim2spk</b> ρ=27.3%	<b>Sim2spk</b> ρ=19.1%	<b>CALLHOME-2spk</b> ρ=13.1%	<b>CSJ</b> ρ=20.1%		
Cascaded	i-vector clustering	33.74	30.93	25.96	12.10	27.99		
	x-vector clustering	28.77	24.46	19.78	11.53	22.96		
Find to and	EEND [Fujita+'19]	4.56	4.50	3.85	9.54	20.48		
End-to-end <b>{</b>	EEND-EDA	2.69	2.44	2.60	8.07	16.27		

- EEND-based methods outperformed cascaded approach methods
- EDA improved the performance of EEND even when the number of speakers is fixed to two

# Visualization

• Frame-wise embeddings and speaker-wise attractors visualized using PCA



- Embeddings of **Silence**, **Speaker 1**, and **Speaker 2** are well separated
- Embeddings of **Overlap** are distributed between those of **Speaker 1** and **Speaker 2**
- Attractors are successfully calculated for each of two speakers

# **Results of Three-Speaker Experiments**

• Diarization error rates (DERs) (%) on three-speaker mixtures

p: overlap ratio

			Simulated	Real		
	Method	<b>Sim3spk</b> ρ=34.4%	<b>Sim3spk</b> ρ=27.4%	<b>Sim3spk</b> ρ=19.2%	<b>CALLHOME-3spk</b> ρ=17.0%	
Cascaded	x-vector clustering	31.78	26.06	19.55	19.01	
End-to-end	EEND [Fujita+'19]	8.69	7.64	6.92	14.00	
	EEND-EDA	8.38	7.06	6.21	13.92	

 Similar to the results on two-speaker mixtures, EEND-EDA outperformed cascaded and conventional end-to-end approaches

# **Results of Flexible-Number-of-Speaker Experiments**

#### • DERs (%) on the simulated datasets

- EEND was trained to output null speech activities for absent speakers
- EEND-EDA outperformed conventional EEND in every conditions

	Simulated dataset								
Method	and the second secon	and the second secon	<b>Sim3spk</b> ρ=34.7%	and the second secon					
EEND [Fujita+'19]	0.50	3.95	9.18	12.24	17.42				
EEND-EDA	0.36	3.65	7.70	9.97	11.95				

### • DERs (%) on CALLHOME (with oracle speech activity detection)

- EEND-EDA outperformed x-vector clustering and conventional EEND
- EEND-EDA is better when #Speakers≤4, while x-vector clustering is better when #Speakers>4

		#Speakers						
Method	2	3	4	5	6	All		
X-vector clustering	9.44	13.89	16.05	13.87	24.73	13.28		
EEND [Fujita+'19]	6.51	15.07	26.09	36.47	46.93	16.79		
EEND-EDA	5.85	9.97	12.61	24.04	26.06	10.46		

# **Results of Flexible-Number-of-Speaker Experiments**

• DERs (%) on DIHARD II and DIHARD III (with oracle speech activity detection)

	Datasets			
Method	<b>DIHARD ΙΙ</b> ρ=8.9%	<b>DIHARD III</b> ρ=9.2%		
X-vector (TDNN) clustering [Landini+'20] [Horiguchi+'21]	18.21	15.83		
EEND [Fujita+'19]	23.25	16.19		
EEND-EDA	20.54	14.91		

#### • Breakdown of the DERs on DIHARD III

	#Speakers								
Method	1	2	3	4	5	6	7	8	9
X-vector (TDNN) clustering	1.30	11.43	16.76	23.09	44.99	26.43	25.61	35.57	2.03
EEND-EDA	2.80	7.52	15.79	25.63	47.66	31.73	35.47	38.19	18.73

• Limitation: EEND-EDA performed worse when the number of speaker was large

# **Summary of Chapter 3**

#### Problem

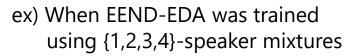
• The conventional EEND assumes that the number of speakers is known in advance

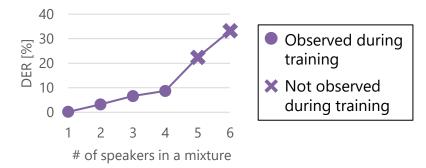
### Solutions

- 3-1: End-to-end speaker diarization for **flexible** numbers of speakers
  - Core contribution: Encoder-decoder based attractors for EEND (EEND-EDA)
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# **Limitation of EEND-EDA**

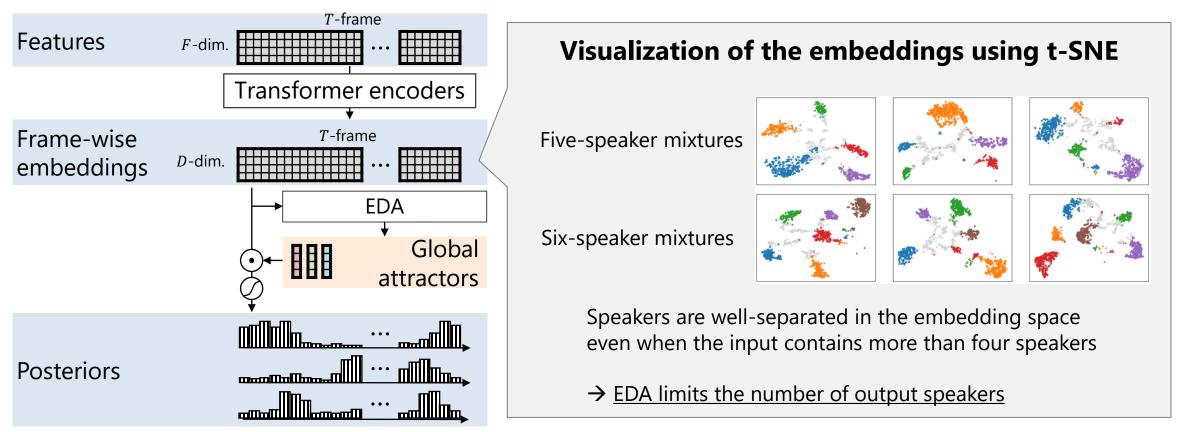
- Limitation
  - The maximum number of speakers to be output from EEND-EDA is empirically limited by the training dataset



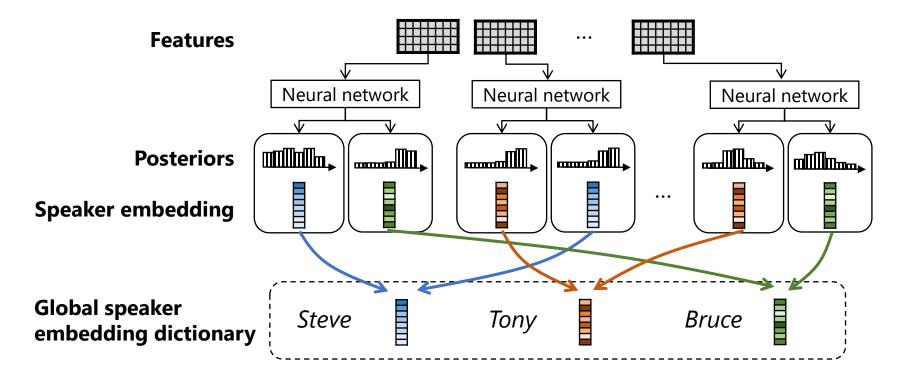


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#### Which part of EEND-EDA causes this limitation?

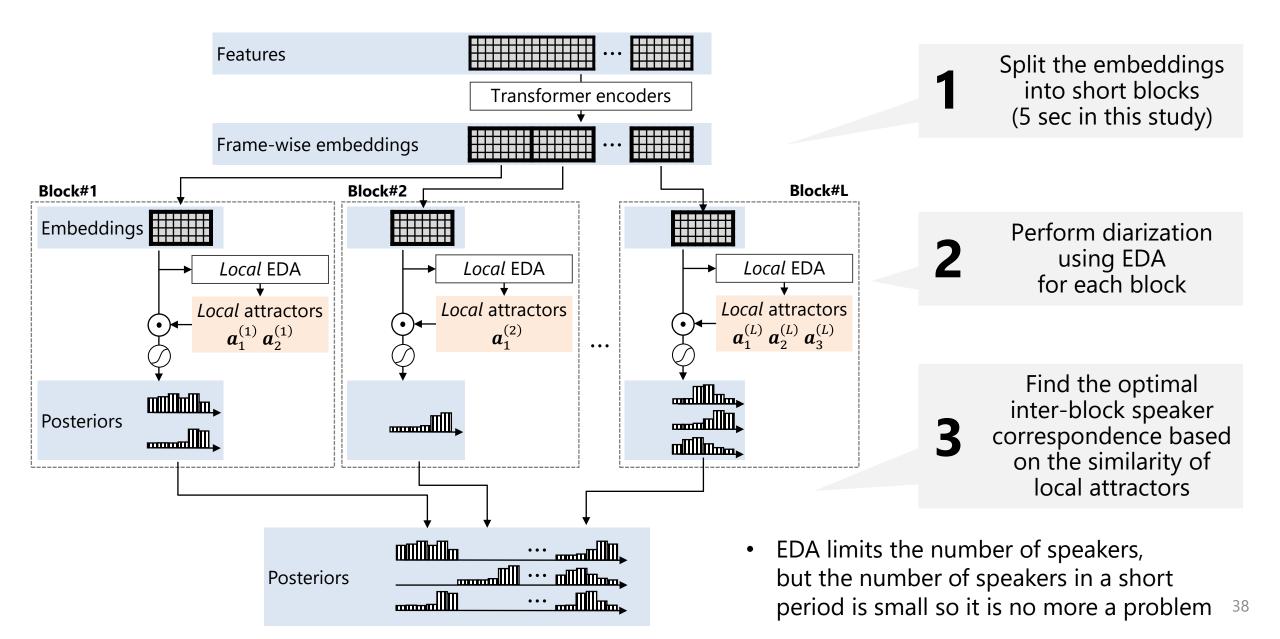


### **Related Work: EEND-vector Clustering** [Kinoshita+'21]

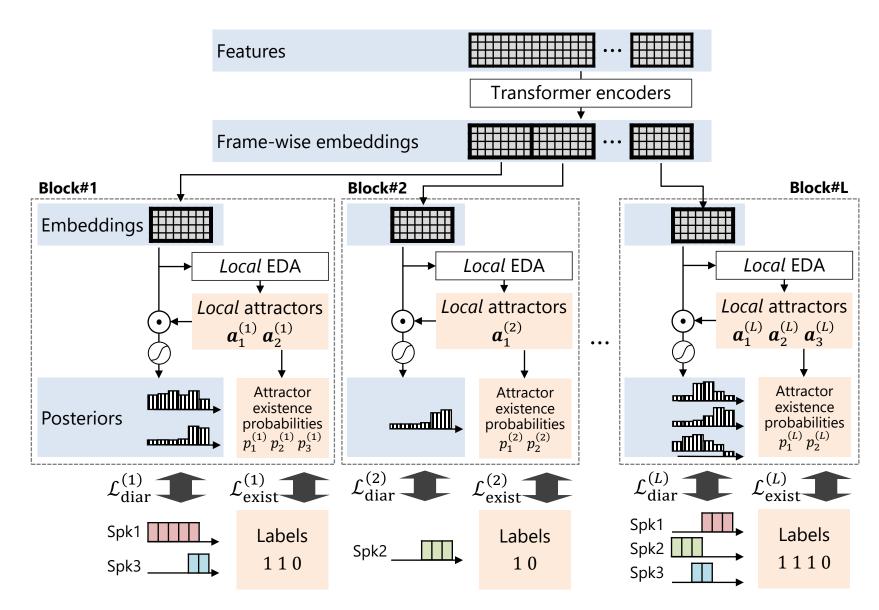


- 1. Estimate diarization results as well as speaker embeddings from each short block-wise features
- 2. Clustering the speaker embeddings to solve inter-block speaker permutation
- The number of speakers is no longer limited
- ✗ Not speaker-adaptive posterior estimation
- **X** Require speaker identities across recordings to construct the global speaker embedding dictionary
- **X** Require somewhat long block (e.g., 30 sec) to obtain reliable speaker embeddings

### **EEND with Local Attractors – Basic Idea**



# **EEND with Local Attractors – Training**



Total loss:  $\mathcal{L}_{\text{local}} = \frac{1}{L} \sum_{l=1}^{L} \left( \mathcal{L}_{\text{diar}}^{(l)} + \alpha \mathcal{L}_{\text{exist}}^{(l)} \right) + \gamma \mathcal{L}_{\text{pair}}$ 

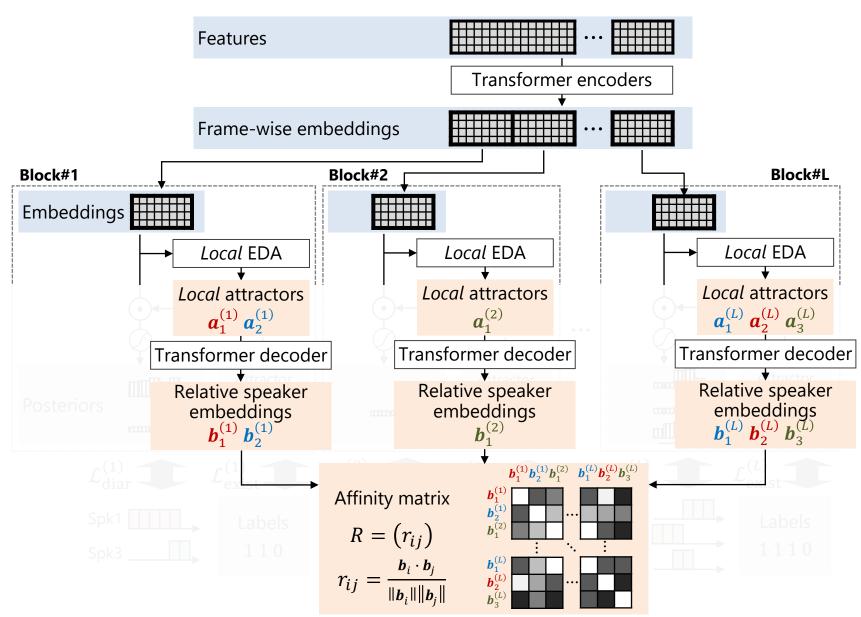
#### 1<sup>st</sup> term:

Average of block-wise diarization loss and attractor existence loss

L: Number of blocks

• By calculating  $\mathcal{L}_{diar}^{(l)}$ , the optimal correspondence between local attractors and speakers are obtained

# **EEND with Local Attractors – Training**



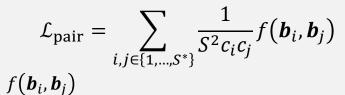
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#### 2<sup>nd</sup> term:

 $1 - r_{ij}$ 

=

Pairwise loss to make the angle between relative speaker embeddings of the same speaker be zero and those of different speakers be at least  $\arccos \delta$  apart

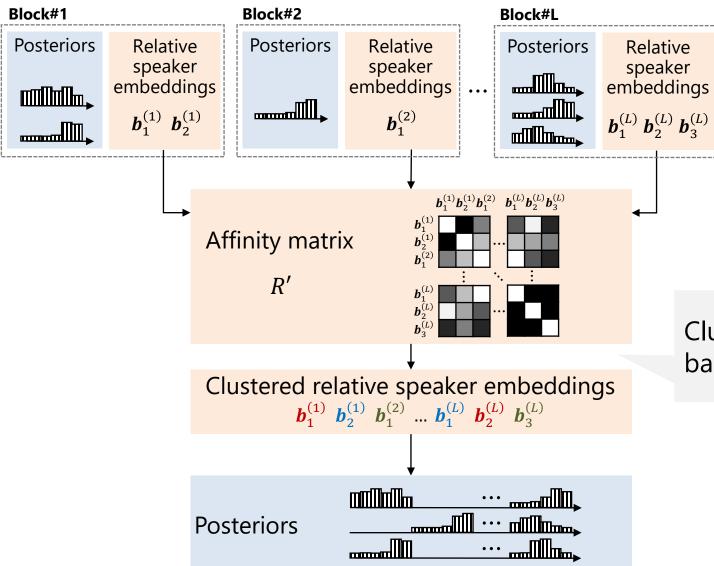


(*i*-th and *j*-th local attractors correspond to the same speaker)

(i-th and j-th local) $\max(0, r_{ij} - \delta)$  attractors correspond to the different speakers)

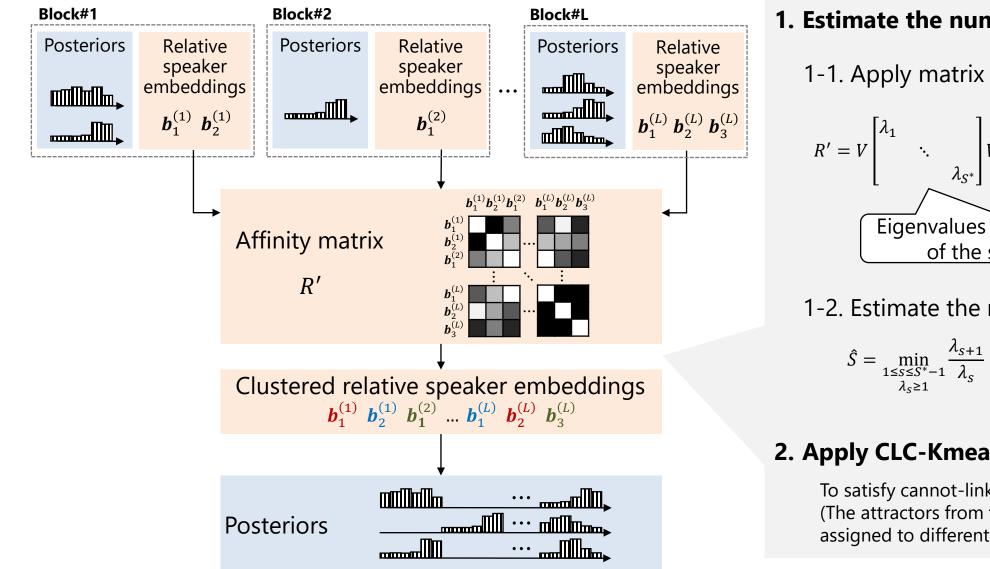
S: # of speakers,  $S^*$ : # of local attractors  $c_i$ : # of local attractors assigned to the *i*-th speaker 40

# **EEND with Local Attractors – Inference**



Clustering the relative speaker embeddings based on the affinity matrix

# **EEND with Local Attractors – Inference**



#### 1. Estimate the number of speakers

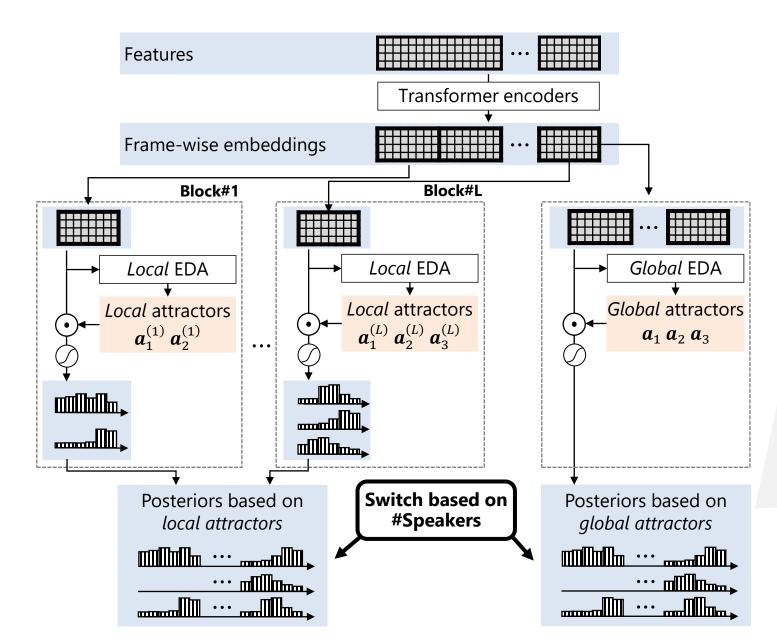
1-1. Apply matrix decomposition

1-2. Estimate the number of speakers

#### 2. Apply CLC-Kmeans clustering [Yang+'13]

To satisfy cannot-link constraints (The attractors from the same block must be assigned to different clusters)

### **EEND-GLA: EEND with Globa and Local Attractors**



Global-attractor-based diarization is still powerful when #Speakers is small

 $\rightarrow$  Use both global and local attractors

#### Training:

$$\mathcal{L} = \mathcal{L}_{global} + \mathcal{L}_{local}$$
Loss of EEND-EDA

#### Inference:

When EEND-GLA is trained using at most N-speaker mixtures

- If the estimated #Speakers ≥ N
   → Use the results based on *global* attractors
- If the estimated #Speakers < N
  - $\rightarrow$  Use the results based on *local* attractors

## **Experimental Settings**

Dataset

Sim1spk

Sim2spk

Sim3spk

Sim4spk

Sim1spk

Sim2spk

Sim3spk

Sim4spk

Sim5spk

Sim6spk

#### Model configuration

Train

Test

- EEND-GLA-Small: The proposed method with 4-layer Transformer encoders
- EEND-GLA-Large: The proposed method with 6-layer Transformer encoders

**#Mixtures** 

100,000

100,000

100,000

100,000

500

500

500

500

500

500

**Overlap** 

ratio

0.0 %

34.1 %

34.2 %

31.5 %

0.0 %

34.4 %

34.7 %

32.0 %

30.7 %

29.9 %

#### Datasets

Simulated conversational datasets

#Spk

1

2

3

4

1

2

3

4

5

6

	Dataset	#Spk	#Mixtures	Overlap ratio
	CALLHOME	2-7	249	17.0 %
Adapt	DIHARD II dev	1-10	192	9.8 %
	DIHARD III dev	1-10	254	10.7 %
	CALLHOME	2-6	250	16.7 %
Test	DIHARD II eval	1-9	194	8.9 %
	DIHARD III eval	1-9	259	9.2 %

Real conversational datasets

 $\succ$  Not observed using training

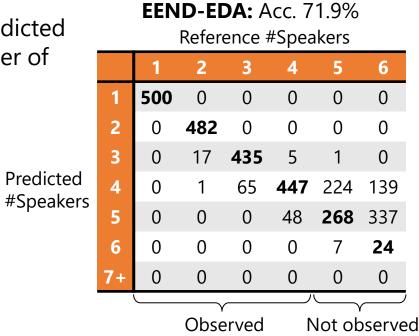
### **Results on the Simulated Datasets**

- DERs (%)
  - EEND-GLA significantly reduced DER of unseen numbers of speakers

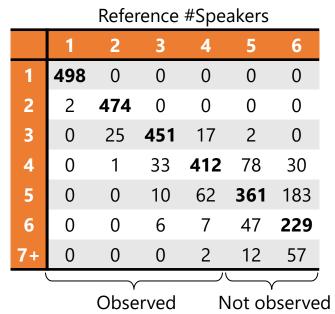
			#Spe	akers		
	1	2	3	4	5	6
X-vector clustering	37.42	7.74	11.46	22.45	31.00	38.62
EEND-EDA	0.15	3.19	6.60	8.68	22.43	33.28
EEND-GLA-Small	0.25	3.53	6.79	8.98	12.44	17.98
EEND-GLA-Large	0.09	3.54	5.74	6.79	12.51	20.42
	Obs	served d		oserved training		

### Speaker counting accuracy

• The number of speakers was predicted more accurately when the number of speakers is five or larger



EEND-GLA-Small: Acc. 80.8%



45

# **Results on the Real Recordings**

• EEND-GLA performed better than EEND-EDA when the number of speakers is large

2	3	4	5	6	All
9.44	13.89	16.05	13.87	24.73	13.28
7.83	12.29	17.59	27.66	37.17	13.65
7.96	11.93	16.38	21.21	23.10	12.49
6.94	11.42	14.49	29.76	24.09	11.92
7.11	11.88	14.37	25.95	21.95	11.84
	9.44 7.83 7.96 <b>6.94</b>	239.4413.897.8312.297.9611.936.9411.42	2349.4413.8916.057.8312.2917.597.9611.9316.386.9411.4214.49	9.4413.8916.05 <b>13.87</b> 7.8312.2917.5927.667.9611.9316.3821.21 <b>6.9411.42</b> 14.4929.76	234569.4413.8916.0513.8724.737.8312.2917.5927.6637.177.9611.9316.3821.2123.106.9411.4214.4929.7624.09

\* Oracle speech segments were used for x-vector clustering

	#Spe	akers	
DIHARD II	≤4	≥5	All
X-vector clustering + overlap handling [Landini+'20]	21.34	39.85	27.11
X-vector clustering + overlap-aware resegmentation [Bredin+'21]	21.41	36.93	26.25
EEND-EDA	22.09	47.66	30.07
EEND-GLA-Small	22.24	44.92	29.31
EEND-GLA-Large	21.40	43.62	28.33

# **Summary of Chapter 3**

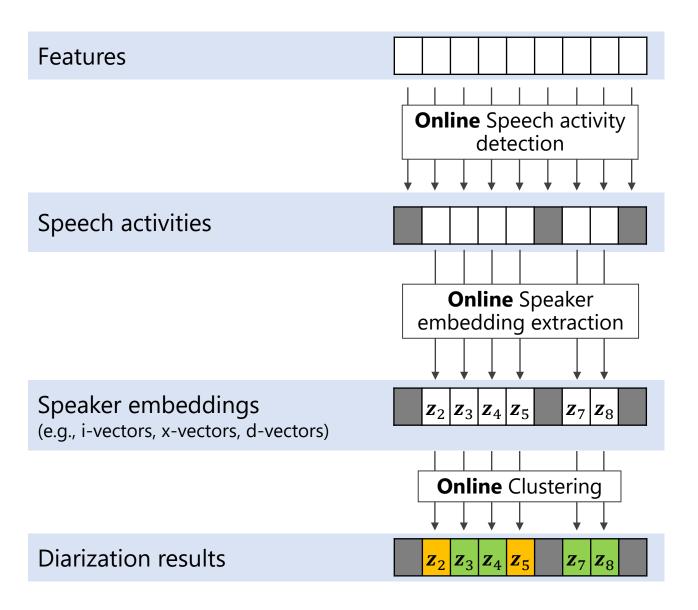
### Problem

• The conventional EEND assumes that the number of speakers is known in advance

### Solutions

- 3-1: End-to-end speaker diarization for **flexible** numbers of speakers
  - Core contribution: Encoder-decoder based attractors for EEND (EEND-EDA)
  - Related publications: [INTERSPEECH'20] [TASLP'22]
- 3-2: End-to-end speaker diarization for **unlimited** numbers of speakers
  - Core contribution: Use of attractors from calculated from global and local contexts (EEND-GLA)
  - Related publication: [ASRU'21] [TASLP'23]
- 3-3: Online end-to-end speaker diarization for unlimited numbers of speakers
  - Core contribution: An extension to speaker-tracing buffer to make it compatible with EEND-GLA
  - Related publication: [TASLP'23]

# **Related Work: Online Cascaded Speaker Diarization**

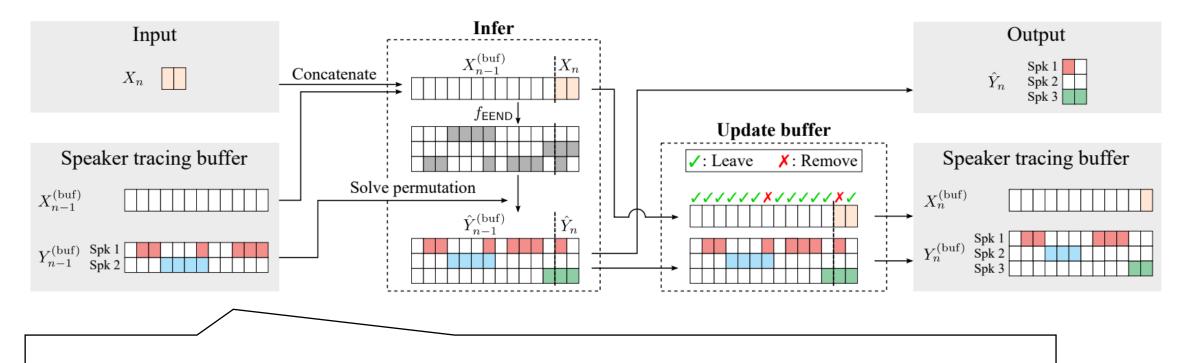


- Each module needs to be replaced to the online one
- Especially, online clustering causes a severe performance drop
  - High performance offline clustering methods are often two-staged [Landini+'22] [Bredin+'21]
  - Therefore, it is not applicable to online processing, i.e., we need completely different clustering algorithm for online processing

	DIHARD II	DIHARD III
Offline x-vector clustering [Bredin+'21]	26.25	19.33
Online x-vector clustering [Coria+'21]	34.99	27.55

# **Related Work: Online EEND**

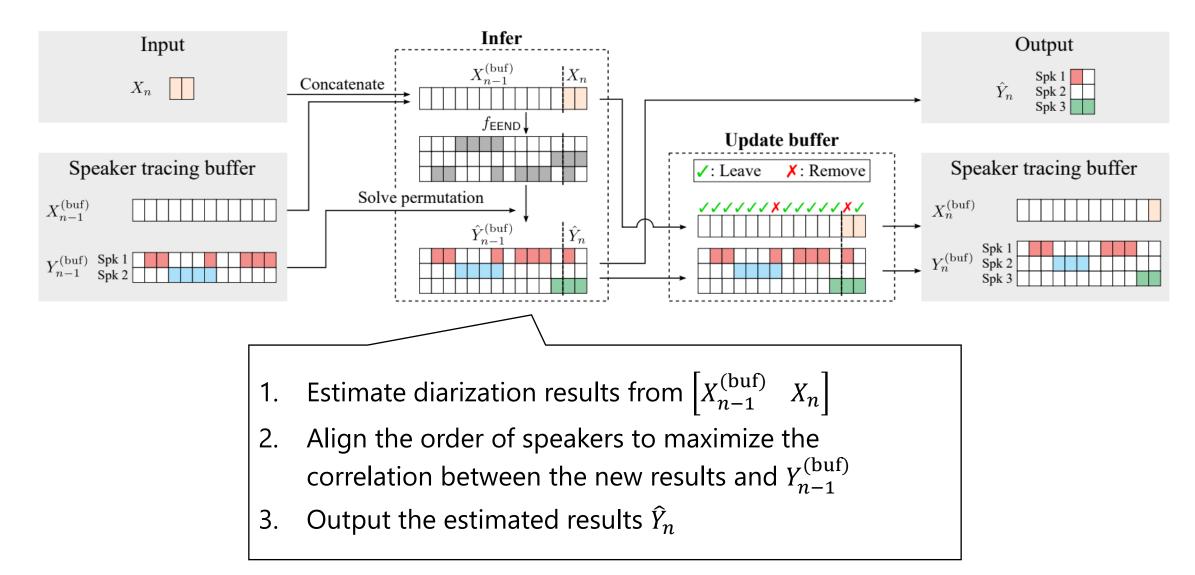
• Speaker tracing buffer (Frame-wise speaker tracing buffer; FW-STB) [Xue+'21]



- Assume block-wise input features  $X_n$  (n = 1, 2, ...)
- FW-STB stores the features and corresponding estimated results
  - $X_{n-1}^{(\text{buf})}$ : Features
  - $Y_{n-1}^{(\text{buf})}$ : Previously estimated diarization results

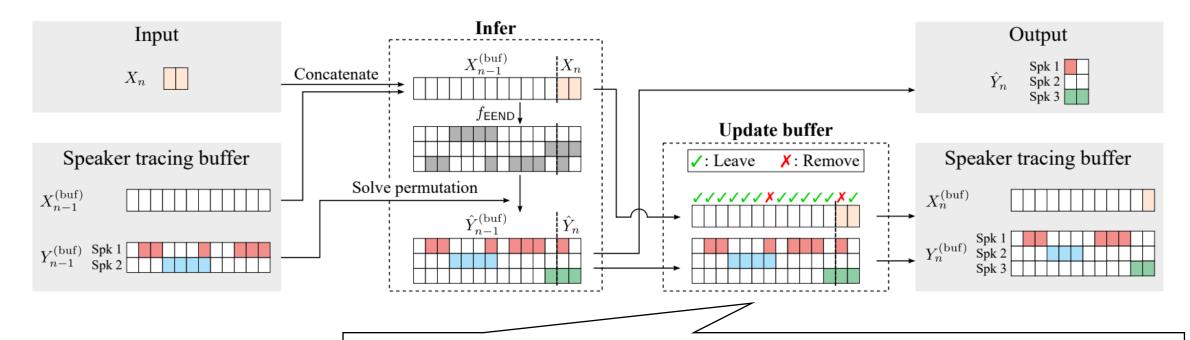
# **Related Work: Online EEND**

• Speaker tracing buffer (Frame-wise speaker tracing buffer; FW-STB) [Xue+'21]



## **Related Work: Online EEND**

• Speaker tracing buffer (Frame-wise speaker tracing buffer; FW-STB) [Xue+'21]



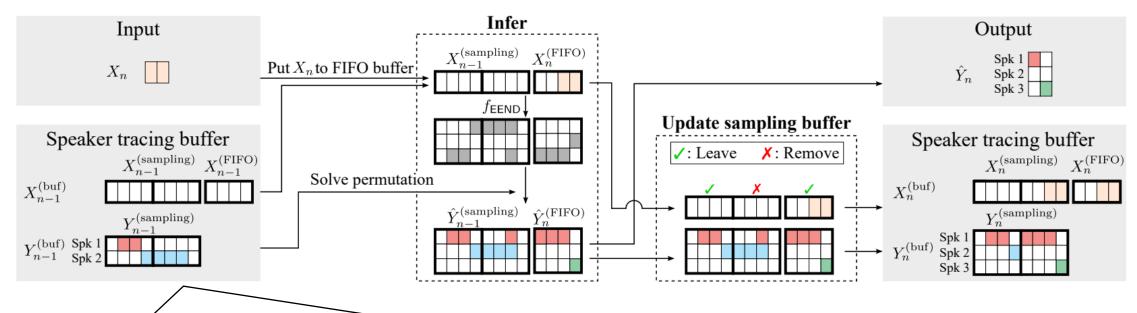
FW-STB is updated by sampling informative frames

- The frames where only one speaker is dominant are selected
- The features and corresponding estimation of those frames are stored in the buffer

**X** The frames are not consecutive  $\rightarrow$  Not compatible with EEND-GLA

# **Proposed Method: Online Extension for EEND-GLA**

Block-wise speaker tracing buffer (BW-STB)

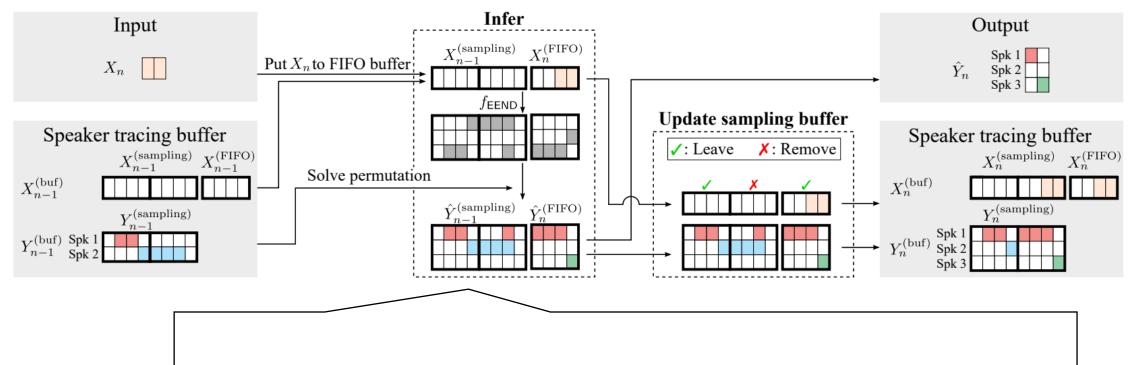


Block-wise speaker tracing buffer consists of two types of buffers

- Block-wise sampling buffer:
  - Consists of multiple blocks
  - Each block stores features and corresponding results of <u>consecutive frames</u>
- First-in-first-out (FIFO) buffer:
  - Consists of a single block
  - Stores recent features

# **Proposed Method: Online Extension for EEND-GLA**

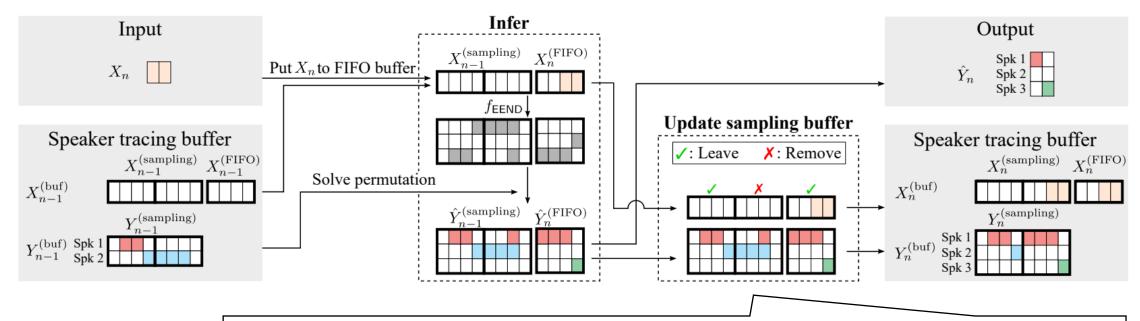
Block-wise speaker tracing buffer (BW-STB)



- 1. Put the input feature  $X_n$  to the FIFO buffer
- 2. Estimate diarization results and solve speaker permutation in the same manner as FW-STB
- 3. Finally, output the results that correspond to  $X_n$

# **Proposed Method: Online Extension for EEND-GLA**

Block-wise speaker tracing buffer (BW-STB)



Block-wise sampling to update the buffer

✓ BW-STB can be used with EEND-GLA because each block in BW-STB stores features and the corresponding results of consecutive frames

✓ Use of the FIFO buffer together enables low-latency processing

# **Experimental Settings for Online Experiments**

#### Model configuration

- EEND-GLA-Small: The proposed method with 4-layer Transformer encoders
- EEND-GLA-Large: The proposed method with 6-layer Transformer encoders

#### • Datasets (same as the offline experiments)

- Simulated datasets
  - Training set: Sim{1,2,3,4}spk
  - Evaluation set: Sim{1,2,3,4,5,6}spk
- Real datasets
  - CALLHOME
  - DIHARD II
  - DIHARD III

### Settings for online experiments

- Features are input every second (=10 features)
- Set the buffer length 100 seconds
  - BW-STB: Block-wise sampling buffer of 95 seconds length (5 seconds \* 19 blocks) & FIFO buffer of 5 seconds

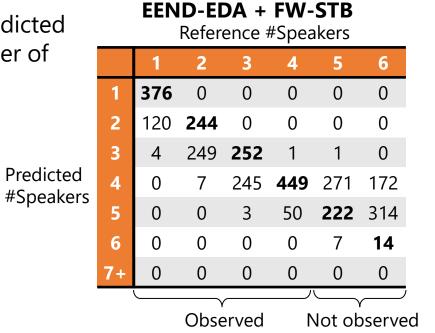
## **Results on the Simulated Datasets**

- DERs (%) on the simulated mixtures
  - EEND-GLA with BW-STB improved DERs of unseen numbers of speakers compared to EEND-EDA with FW-STB

			#Sp	eakers		
	1	2	3	4	5	6
BW-EDA-EEND [Han+'21]	1.03	6.10	12.58	19.17	N/A	N/A
EEND-EDA + FW-STB [Xue+'21]	1.50	5.91	9.79	11.85	26.63	37.25
EEND-GLA-Small + BW-STB	1.19	5.18	9.41	13.19	16.95	22.55
EEND-GLA-Large + BW-STB	1.12	4.61	8.14	11.38	17.27	25.77
	Obs	erved d		oserved training		

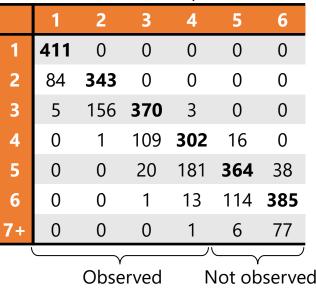
### • Speaker counting accuracy

• The number of speakers was predicted more accurately when the number of speakers is five or larger



**EEND-GLA-Small + BW-STB** 

Reference #Speakers



### **Results on the Real Recordings**

- EEND-GLA + BW-STB improved the DERs from EEND-EDA + FW-STB when # of speakers is large (≥5)
- EEND-based methods suppressed the degradation due to online processing

### **DIHARD II dataset**

Offline	#Spe	akers			Online	#Spe		
Omme	≤4	≥5	All		Onine	≤4	≥5	All
X-vector clustering [Bredin+'21]	21.41	36.93	26.25	+8.74%	X-vector clustering [Coria+21]	27.00	52.62	34.99
EEND-EDA	22.09	47.66	30.07	+3.30%	EEND-EDA + FW-STB [Xue+'21]	25.63	50.45	33.37
EEND-GLA-Small	22.24	44.92	29.31	+2.16%	EEND-GLA-Small + BW-STB	23.96	48.06	31.47
EEND-GLA-Large	21.40	43.62	28.33	+1.91%	EEND-GLA-Large + BW-STB	22.62	47.06	30.24

### **Results on the Real Recordings**

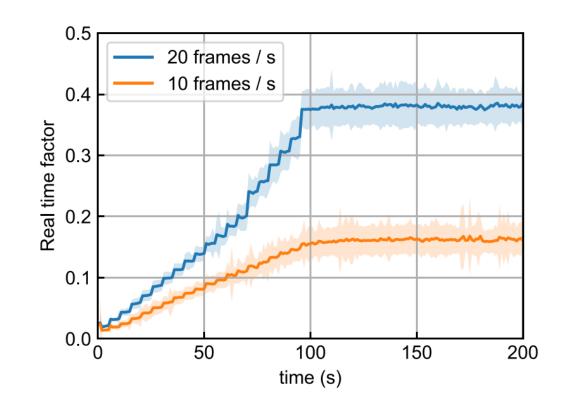
- EEND-GLA + BW-STB improved the DERs from EEND-EDA + FW-STB when # of speakers is large (≥5)
- EEND-based methods suppressed the degradation due to online processing

### DIHARD III dataset

Offline	#Speakers				Online	#Spe		
Omme	≤4	≥5	All		Onine	≤4	≥5	All
X-vector clustering [Bredin+'21]	15.32	35.87	19.33	+8.22%	X-vector clustering [Coria+'21]	21.07	54.28	27.55
EEND-EDA	15.55	48.30	21.94	+3.15%	EEND-EDA + FW-STB [Xue+'21]	19.00	50.21	25.09
EEND-GLA-Small	14.39	44.32	20.23	+1.77%	EEND-GLA-Small + BW-STB	15.87	47.24	22.00
EEND-GLA-Large	13.64	43.67	19.49	+1.24%	EEND-GLA-Large + BW-STB	14.81	45.17	20.73

## **Real Time Factor**

- Computing environment:
  - Intel Xeon Gold 6132 CPU @ 2.60 GHz using 7 threads
  - No GPU was used
- Dataset
  - Sim5spk



- Real time factor increased linearly until the buffer was filled
  - The time complexity of EEND-GLA is  $O(n^3)$ , but not constrained by it at least for buffer length of 100 sec.
- After the convergence, the real time factors were 0.16 (10 frames / sec) and 0.38 (20 frames / sec)

# **Summary of Chapter 3**

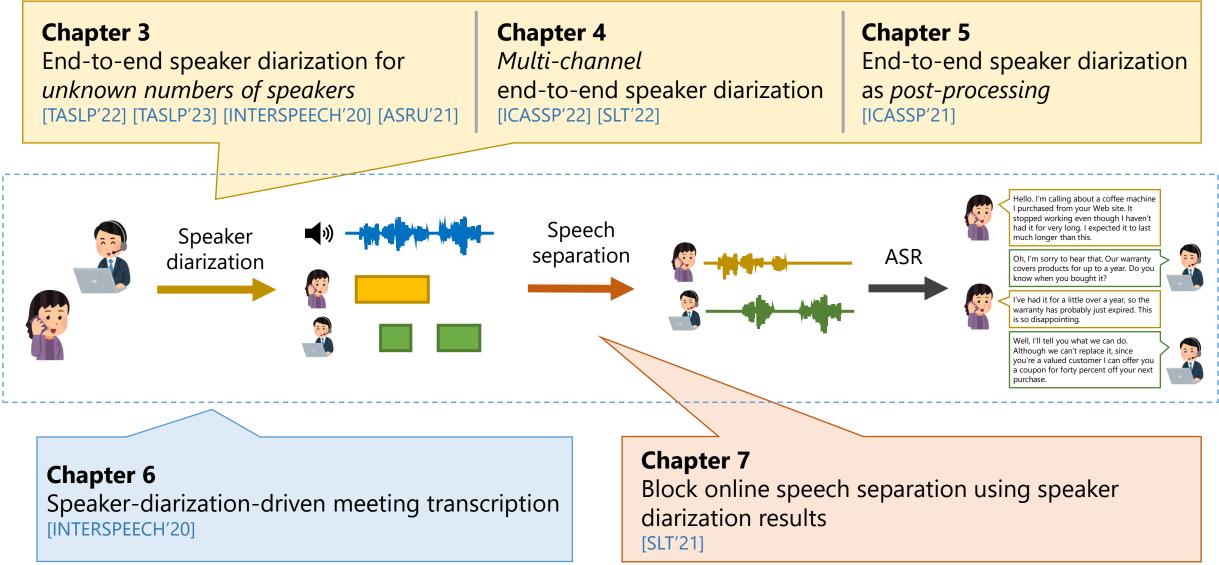
### Problem

• The conventional EEND assumes that the number of speakers is known in advance

### Solutions

- 3-1: End-to-end speaker diarization for **flexible** numbers of speakers
  - Core contribution: Encoder-decoder based attractors for EEND (EEND-EDA)
  - Related publications: [INTERSPEECH'20] [TASLP'22]
- 3-2: End-to-end speaker diarization for **unlimited** numbers of speakers
  - Core contribution: Use of attractors from calculated from global and local contexts (EEND-GLA)
  - Related publication: [ASRU'21] [TASLP'23]
- 3-3: Online end-to-end speaker diarization for unlimited numbers of speakers
  - Core contribution: An extension to speaker-tracing buffer to make it compatible with EEND-GLA
  - Related publication: [TASLP'23]

### **Thesis Overview**



### **Chapter 4: Multi-Channel End-to-End Speaker Diarization**

### Problem

- EEND / EEND-EDA / EEND-GLA only utilize spectral information from single-channel inputs
- Existing multi-channel methods highly depend on spatial information

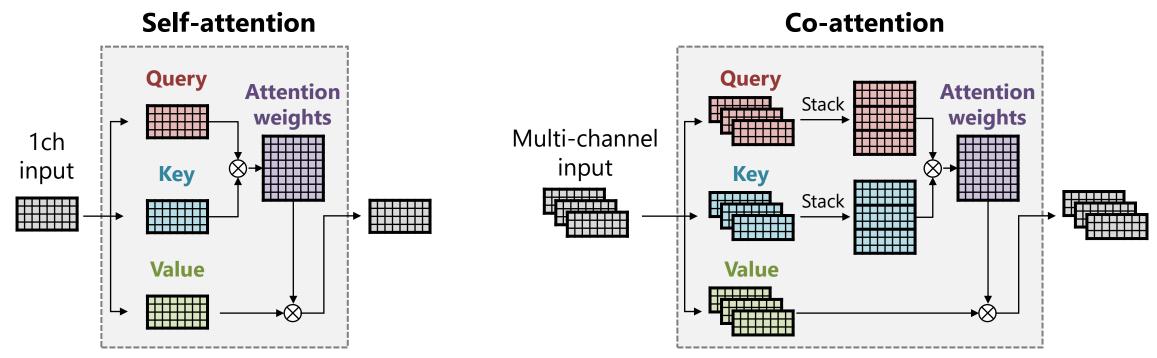
### • Solutions

- 4-1: Multi-channel end-to-end speaker diarization that also handles single-channel inputs
  - Core contribution: Co-attention encoder that not rely on cross-channel attention
  - Related publication: [ICASSP'22]
- 4-2: Training method of single- and multi-channel end-to-end speaker diarization
  - Core contribution: Iterative operation of transfer learning and knowledge distillation between two
  - Related publication: [SLT'22]

### 4-1: Co-Attention-Based Multi-Channel EEND

### Method: Co-attention

- Process multi-channel input
- Equivalent to the conventional self-attention when the number of channel is one
  - $\rightarrow$  Not heavily rely on spatial information

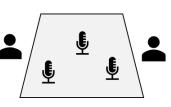


## 4-1: Co-Attention-Based Multi-Channel EEND

### Datasets

- Two types of simulated 10-channel two-speaker datasets
  - Sim2spk-multi: Two speakers are at the different positions
  - Sim2spk-multi-hybrid: Two speakers are at the same position
- Results
  - Co-attention-based model improved DER by utilizing spatial information
  - Co-attention-based model did not degrade even when spatial information is not available
    - Sim2spk-multi (1ch) & Sim2spk-multi-hybrid (1, 2, 4, 6, 10ch)

		Sim	<mark>2spk-</mark> n	nulti	Sim2spk-multi-hybrid						
Algorithm	1ch	2ch	4ch	6ch	10ch	1ch	2ch	4ch	6ch	10ch	
1ch + posterior avg.	5.13	4.60	4.31	4.19	4.10	6.07	5.68	5.42	5.38	5.33	
Spatio-temporal [Wang+'21]	6.34	3.02	1.56	1.28	1.07	8.11	8.23	6.98	6.72	6.40	
Co-attention (proposed)	4.68	2.52	1.71	1.40	1.23	5.73	5.34	5.05	5.18	5.35	





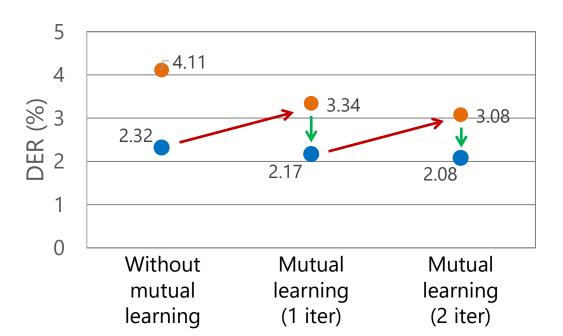
Sim2spk-multi

Sim2spkmulti-hybrid

# 4-2: Mutual Learning of Single and Multi-Channel EEND

### Method: Mutual learning

- Iteratively conduct the following:
  - Knowledge distillation from multi-channel to single-channel EEND
  - Finetuning from **single-channel** to **multi-channel EEND**
- Results
  - Proposed method improved DERs of both single- and multi-channel EEND



DER (1ch)
 DER (4ch)
 Knowledge distillation (multi to single)
 Finetuning (single to multi)

# **Summary of Chapter 5**

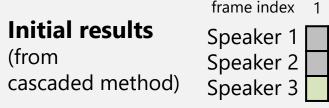
### Problem

- While the end-to-end approach is promising, cascaded approaches are still powerful
- Cascaded approaches require overlap detection and speaker assignment as the last step

### • Solutions

- Use end-to-end speaker diarization for overlap detection and speaker assignment of cascaded approaches
  - Core contribution: An algorithm to use EEND to refine the results from cascaded approaches (EEND as post-processing)
  - Related publication: [ICASSP'21]

### Chapter 5: End-to-End Speaker Diarization as Post-Processing

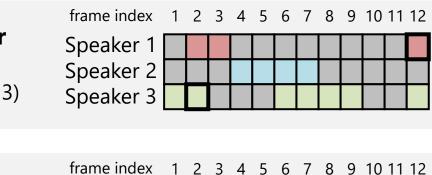


ame index	1	2	3	4	5	6	7	8	9	10	11	12
oeaker 1												
peaker 2												
peaker 3												

Results after Update #1 (Speakers 2 & 3)

frame index	1	2	3	4	5	6	7	8	9	10	11	12
Speaker 1												
Speaker 2												
Speaker 3												

Results after Update #2 (Speakers 1 & 3)



Results after Update #3 (Speakers 1 & 2)

frame index	1	2	3	4	5	6	7	8	9	10	11	12
Speaker 1												
Speaker 2												
Speaker 3												

- Method: EEND as post-processing (EENDasP) For each speaker pair:
  - 1. Select frames not containing other speakers
  - 2. Process the frames using two-speaker EEND
  - 3. Update the results of the frames

### Results on the DIHARD II dataset

- Consistently improved DERs on both datasets
- Can be used with other overlap detection and assignment methods

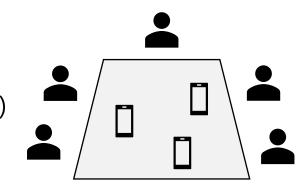
Model	<b>DER (%)</b>
DIHARD II baseline [Sell+'20]	40.86
DIHARD II baseline + EENDasP	37.90
BUT system (w/o OVL) [Landini+'20]	27.26
BUT system (w/o OVL) + EENDasP	26.91
BUT system (w/ OVL) [Landini+'20]	27.11
BUT system (w/ OVL) + EENDasP	26.88

OVL: Heuristic-based speaker assignment

# **Summary of Chapter 6**

### Purpose

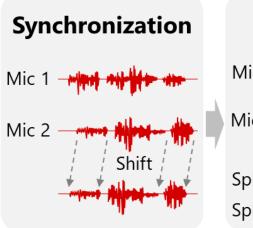
• To show how speaker diarization is important for meeting transcription using distributed microphones (e.g., smartphone / tablet device) without any special devices (microphone arrays, omnidirectional cameras)



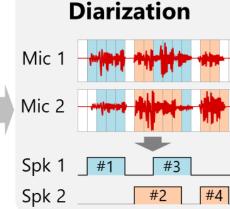
### Solutions

- Speaker-diarization-driven meeting transcription using distributed microphones
  - Core contribution: Demonstration of the effectiveness of the diarization-driven ASR on the realistic data
  - Related publication: [INTERSPEECH'20]

# **Chapter 6: System Overview**



Synchronization using signal correlation

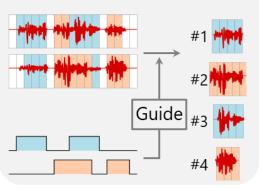


Cascaded diarization

with oracle number

of speakers

Speech enhancement



Diarization-guided speech enhancement [Boeddeker+'18] Speech recognition

#1 
$$\rightarrow (\boldsymbol{w}_1, k_1, t_1^{\mathrm{s}}, t_1^{\mathrm{e}})$$
  
#2  $\rightarrow (\boldsymbol{w}_2, k_2, t_2^{\mathrm{s}}, t_2^{\mathrm{e}})$   
#3  $\rightarrow (\boldsymbol{w}_3, k_3, t_3^{\mathrm{s}}, t_3^{\mathrm{e}})$   
#4  $\rightarrow (\boldsymbol{w}_4, k_4, t_4^{\mathrm{s}}, t_4^{\mathrm{e}})$ 

ASR system [Kanda+'18]

Duplication reduction

$$\longrightarrow (\boldsymbol{w}_1, k_1, t_1^{\mathrm{s}}, t_1^{\mathrm{e}})$$

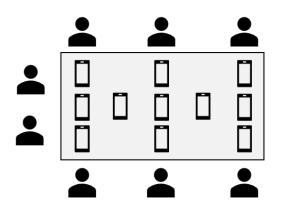
$$\longrightarrow (\boldsymbol{w}_2, k_2, t_2^{\mathrm{s}}, t_2^{\mathrm{e}})$$
$$\longrightarrow (\boldsymbol{w}_4, k_4, t_4^{\mathrm{s}}, t_4^{\mathrm{e}})$$

Similar results with highly overlapped segments are merged

# **Chapter 6: Result Summary**

### Dataset

- ~2 hours of meeting consists of 8 sessions
- 5-8 participants
- 11 smartphones for recording
- Each participant wore a headset microphone



# of mics	CER
1	38.2
2	31.4
3	33.7
6	30.2
11	28.7
11 with oracle diarization	21.8
(Headset)	(19.2)

#### Results

- Using multiple microphones successfully reduced the ASR performance measured using the character error rates (CERs)
- If the oracle diarization results were given, the system achieved nearly headset-level CER
  - $\rightarrow$  Highly accurate speaker diarization is important
- Limitation: Diarization-guided speech separation is super slow

# **Summary of Chapter 7**

### Problem

• Diarization-guided speech enhancement (guided source separation [Boeddeker+'18]) is too slow for real-time applications

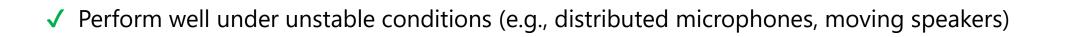
### Solution

- Block-online algorithm of guided source separation
  - Core contribution: Real-time operation of guided source separation without performance degradation
  - Related publications: [SLT'21]

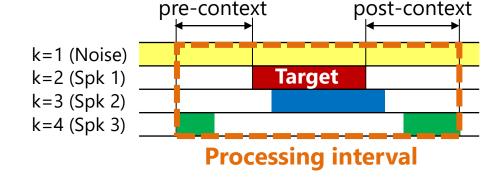
### **Chapter 7: Related Work**

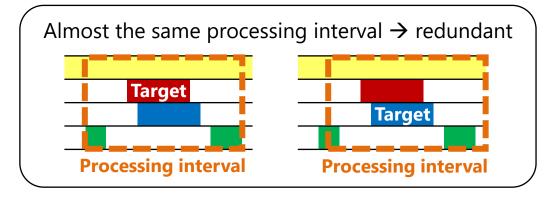
### Guided source separation (GSS)

- Utterance-wise separation that utilize pre-context and post-context of the target utterance (~15 sec for each)
- Use diarization results for conditioning in the separation step



- High computational cost due to redundant calculation
   (85.44 hours to process 4.46 hours of CHiME-6 data)
- X Latency depending on utterance/post-context length





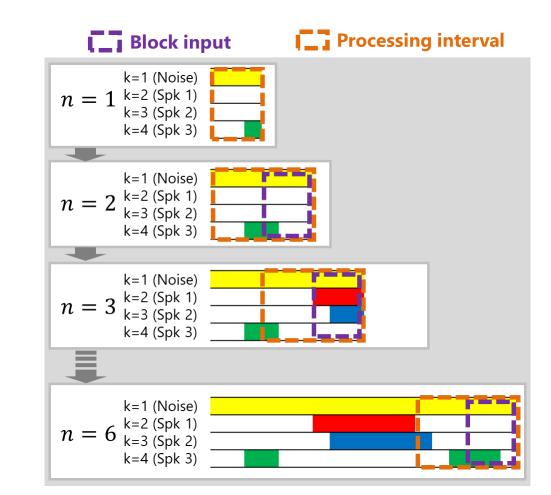
# **Chapter 7: Proposed Method**

### Proposed method: Block-online GSS

- Process block-wise inputs with their pre-context only
- ✓ Avoid redundancy of utterance-wise processing
- ✓ Latency depending on the block length

### • Experiments

- Dataset
  - Two sessions (S02 & S09) of the CHiME-6 dataset
    - S02: 8,902 sec
    - S09: 7,160 sec
- Computational environment
  - Intel Xeon Gold 6132 CPU @ 2.60 GHz with 1 thread
- Similar ASR performance in word error rate (WER)
- 32x faster calculation, which is fast enough for real-time operation





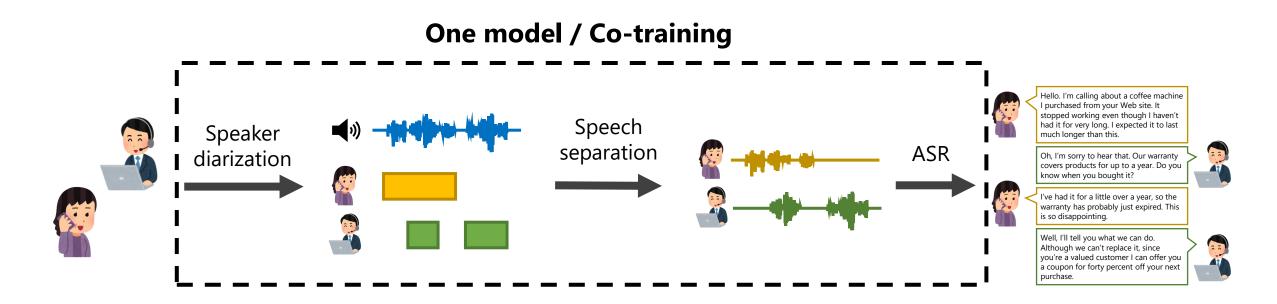
## Conclusion

### Part 1: Study on speaker diarization

- End-to-end speaker diarization for unknown numbers of speakers (Chapter 3)
  - **EEND-EDA:** A method of overlap-aware diarization of flexible numbers of speakers
  - **EEND-GLA:** A method of overlap-aware diarization of unlimited numbers of speakers
  - Block-wise speaker-tracing buffer: A method to enable online decoding of EEND-GLA
- Multi-channel end-to-end speaker diarization (Chapter 4)
  - Co-attention encoder: An encoder that can treat any numbers of channels
  - **Mutual learning:** A training method to improve both single- and multi-channel diarization
- End-to-end speaker diarization as post-processing (Chapter 5)
  - **EEND as post-processing:** A method to use EEND for overlap detection of cascaded approaches
- Part 2: Study on applications of speaker diarization
  - Speaker-diarization-driven meeting transcription (Chapter 6)
    - Meeting transcription system based on distributed microphones
  - Block-online speech separation conditioned on speaker diarization results (Chapter 7)
    - Block-online guided source separation: Fast and accurate speech separation method

### **Future Work**

- Joint modeling of speaker diarization, speech separation, and ASR
  - Speaker diarization is informative for speech separation and ASR
  - Speech separation / ASR is also informative for speaker diarization [Xiao+'21] [India+'17]



### **Related Publications**

#### Journal articles

- [1] <u>Shota Horiguchi</u>, Shinji Watanabe, Paola Garcia, Yuki Takashima, and Yohei Kawaguchi, "Online neural diarization of unlimited numbers of speakers," IEEE/ACM Transactions on Audio, Speech and Language Processing, vol. 31, pp. 704-720, 2023.
- [2] Shota Horiguchi, Yusuke Fujita, Shinji Watanabe, Yawen Xue, and Paola Garcia, "Encoder-decoder based attractors for end-to-end neural diarization," IEEE/ACM Transactions on Audio, Speech and Language Processing, vol. 30, pp. 1493-1507, 2022.

#### Peer-reviewed International Conference Paper

- [3] <u>Shota Horiguchi</u>, Yuki Takashima, Shinji Watanabe, and Paola Garcia, "Mutual learning of single- and multi-channel end-to-end neural diarization," in SLT 2022, pp. 620-625.
- [4] **Shota Horiguchi**, Yuki Takashima, Paola Garcia, Shinji Watanabe, and Yohei Kawaguchi, "Multi-channel end-to-end neural diarization with distributed microphones," in ICASSP 2022, pp. 7332-7336.
- [5] Shota Horiguchi, Paola Garcia, Shinji Watanabe, Yawen Xue, Yuki Takashima, and Yohei Kawaguchi, "Towards neural diarization for unlimited numbers of speakers using global and local attractors," in ASRU 2021, pp. 98-105.
- [6] **Shota Horiguchi**, Paola Garcia, Yusuke Fujita, Shinji Watanabe, and Kenji Nagamatsu, "End-to-end speaker diarization as post-processing," in ICASSP 2021, pp. 7188-7192.
- [7] Shota Horiguchi, Yusuke Fujita, and Kenji Nagamatsu, "Block-online guided source separation," in SLT 2021, pp.236-242.
- [8] **Shota Horiguchi**, Yusuke Fujita, Shinji Watanabe, Yawen Xue, and Kenji Nagamatsu, "End-to-end speaker diarization for an unknown number of speakers with encoder-decoder based attractors," in INTERSPEECH 2020, 269-273.
- [9] **Shota Horiguchi**, Yusuke Fujita, and Kenji Nagamatsu, "Utterance-wise meeting transcription system using asynchronous distributed microphones," in INTERSPEECH 2020, pp. 344-348.

# **Other Publications (1st author)**

### Journal articles

- Shota Horiguchi, Daiki Ikami, and Kiyoharu Aizawa, "Significance of softmax-based features in comparison to distance metric learning-based features," IEEE Transactions on Pattern Analysis and Machine Intelligence, vol. 42, no. 5, pp. 1279-1285, 2020.
- **Shota Horiguchi**, Sosuke Amano, Makoto Ogawa, and Kiyoharu Aizawa, "Personalized classifier for food image recognition," IEEE Transactions on Multimedia, vol. 20, no. 10, pp. 1497-1507, 2018.

#### • Peer-reviewed International Conference Papers

- **Shota Horiguchi**, Naoyuki Kanda, and Kenji Nagamatsu, "Multimodal response obligation detection with unsupervised online domain adaptation," in INTERSPEECH, pp. 4180-4184, 2019.
- <u>Shota Horiguchi</u>, Naoyuki Kanda, and Kenji Nagamatsu, "Face-voice matching using cross-modal embeddings," in ACMMM, pp. 1011-1019, 2018.
- Shota Horiguchi, Kiyoharu Aizawa, and Makoto Ogawa, "The log-normal distribution of the size of objects in daily meal images and its application to the efficient reduction of object proposals," in ICIP, pp. 3668-3672, 2016.

### Awards

- Itakura Prize Innovative Young Researcher Award, Acoustical Society of Japan, 2023
  - For the research on overlap-aware speaker diarization for unknown numbers of speakers
- 2<sup>nd</sup> prize in The Third DIHARD Speech Diarization Challenge (DIHARD III), 2021.
  - As the Hitachi-JHU team (lead author)
- 2<sup>nd</sup> prize in The 5<sup>th</sup> CHiME Speech Separation and Recognition Challenge (CHiME-5), 2018.
  - As the Hitachi-JHU team
- ITE Outstanding Research Presentation Award, 2015.

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- [Landini+'20] "BUT system for the second DIHARD speech diarization challenge," in Proc. ICASSP, 2020.
- [Landini+'22] "Bayesian HMM clustering of x-vector sequences (VBx) in speaker diarization: theory, implementation and analysis on standard tasks," Computer Speech and Language, vol. 71, p. 101254, 2022.
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